

# Chapter 6: Dirac

## Motivation:

- Paul Dirac 1928

- unification of special relativity and quantum mechanics  
Dirac equation = relativistic wave equation
- spin 1/2 particles like electron/positron, quarks
- validation: accounts for fine details of hydrogen atom spectrum
- implies existence of antimatter, not known at that time;  
1932: detection of positron by Anderson

- Dirac equation for 4 complex fields = spinor

- spinors transform differently than vectors with respect to rotations, boosts:
- e.g. one has to rotate  $720^\circ$  in real space in order to reproduce the original spinor
- non-relativistic limit: Pauli two-component wave function
- massless spin 1/2 particles: Weyl equation, for many decades neutrinos (= spin 1/2) were thought to be massless

- Outline:

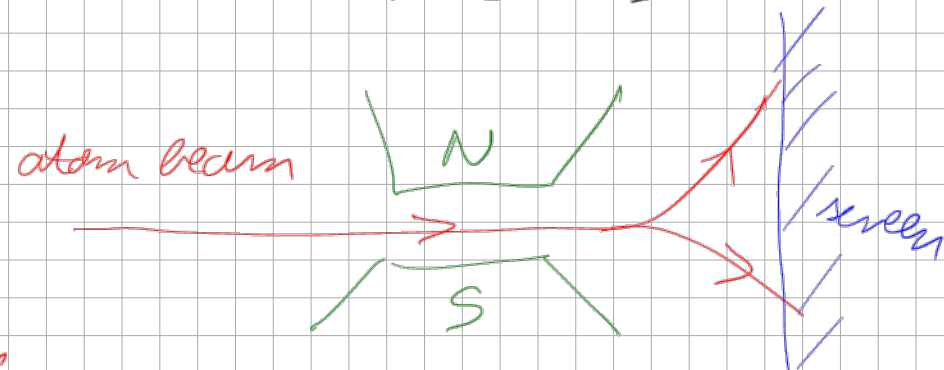
- Group-theoretically inspired derivation of Dirac equation:  
spinor representation of Lorentz equation

- Invariance under discrete transformations like: charge conjugation (C), parity (P), time inversion (T)
  - > CPT theorem explicitly proven
  - > All masses and lifetimes of matter and antimatter are identical (CERN experiment: anti-hydrogen)
- Canonical field quantization
- Dirac propagator: important for perturbative calculations

## 6.1 Pauli Matrices:

- Stern-Gerlach experiment 1922

- 1) silver:  $5s^1$
  - 2) hydrogen:  $1s^1$
- valence electrons



- Explanation: apart from orbital angular momentum the electron also has an internal angular momentum ( $S = \hbar/2$ )  $\rightarrow$  deflection in an inhomogeneous magnetic field

- Mathematical description due to Wolfgang Pauli: 3  $2 \times 2$ -matrices

$$\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

2 important properties:

① Anticommutators:  $[\sigma^k, \sigma^e]_+ = 2 \delta_{ke} I$ ,  $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$   
 $\Rightarrow$  Clifford algebra with  $N=3$

$N$  generators  $\{1, \dots, N\}$  form a Clifford algebra:  $\{\sigma^k, \sigma^l\}_+ = 2\delta_{kl}$

②) Commutators:  $[\sigma^k, \sigma^l]_- = 2i \varepsilon_{klm} \sigma^m$

$\Rightarrow$  Lie algebra with  $N=3$

$N$  generators  $\{1, \dots, N\}$  form a Lie algebra:  $[\sigma^k, \sigma^l]_- = i \underbrace{\varepsilon_{klm}}_{\text{structure constants}} \sigma^m$

① + ②:  $\sigma^k \sigma^l + \sigma^l \sigma^k = 2\delta_{kl} \cdot I$

$$\sigma^k \sigma^l - \sigma^l \sigma^k = 2i \varepsilon_{klm} \sigma^m$$

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$$\sigma^k \sigma^l = \delta_{kl} I + i \varepsilon_{klm} \sigma^m \quad (*)$$

(\*) is an important calculational tool to reduce the power of Pauli matrices.

6.2 Spinor representation of Lorentz Algebra: 2 representations!

- generators of rotations:  $L_k = \frac{1}{2} \sigma^k$

$$[L_k, L_l]_- = \frac{1}{4} [\sigma^k, \sigma^l]_- = i \varepsilon_{klm} \left(\frac{1}{2} \sigma^m\right) = i \varepsilon_{klm} L_m \quad \checkmark$$

- generators of boosts:  $M_k = \pm \frac{i}{2} \sigma^k$

$$1) [L_k, M_l]_- = \frac{\pm i}{4} [\sigma^k, \sigma^l]_- = i \varepsilon_{klm} \left(\frac{\pm i}{2} \sigma^m\right) = i \varepsilon_{klm} M_m \quad \checkmark$$

$$2) [M_k, M_l]_- = -\frac{1}{4} [\sigma^k, \sigma^l]_- = -i \varepsilon_{klm} \left(\frac{1}{2} \sigma^m\right) = -i \varepsilon_{klm} L_m \quad \checkmark$$

- Two representations:

$$D^{(1/2, 0)} = (L_k, M_k) = \left(\frac{1}{2} \sigma^k, -\frac{i}{2} \sigma^k\right)$$

$$D^{(0, 1/2)} = (L, R, M, K) = \left( \frac{1}{2} G^k, + \frac{i}{2} G^k \right)$$

$D^{(S_1, S_2)}$  : general representation of Lorentz algebra with  $S_1, S_2 = 0, 1/2, 1, 3/2, 2, \dots$   
 contains particles with spin  $[|S_1 - S_2|, \dots, S_1 + S_2]$

$D^{(S, 0)}$  or  $D^{(0, S)}$  : describes particles with fixed spin  $S$

$$D^{(0, 0)} = 1$$

Lie theorem :  $D(L) = e^{-i \vec{L} \cdot \vec{\varphi} - i \vec{M} \cdot \vec{\eta}}$  rotations, angles rapidities  
representation of Lorentz group representation of Lorentz algebra

$$D^{(1/2, 0)}(L) = \exp \left\{ -\frac{\epsilon}{2} \vec{\sigma} \cdot \vec{\varphi} - \frac{1}{2} \vec{\sigma} \cdot \vec{\eta} \right\} \quad \vec{\varphi} = \vec{0} : \text{boost}$$

$$D^{(0, 1/2)}(L) = \exp \left\{ -\frac{i}{2} \vec{\sigma} \cdot \vec{\varphi} + \frac{1}{2} \vec{\sigma} \cdot \vec{\eta} \right\} \quad \vec{\eta} = 0 : \text{rotation}$$

### 6.3 Spinor representation of Rotation:

$$D^{(1/2, 0)}(R) = e^{-\frac{\epsilon}{2} \vec{\sigma} \cdot \vec{\varphi}} = D^{(0, 1/2)}(R) ; (G^k)^+ = G^k \quad \underline{D(R) = D^{-1}(R) \text{ unitary}}$$

$$D(R(\varphi)) = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} \frac{(\vec{\sigma} \cdot \vec{\varphi})^{2n}}{2^{2n}} - i \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} \frac{(\vec{\sigma} \cdot \vec{\varphi})^{2n+1}}{2^{2n+1}}$$

$$(\vec{\sigma} \cdot \vec{\varphi})^2 = \varphi_k \varphi_l G^k G^l \stackrel{(*)}{=} \underbrace{\varphi_k \varphi_l}_{\text{symmetrisch in } k, l} (\delta_{kl} \mathbb{I} + i \epsilon_{klm} G^m) = \varphi^2 \cdot \mathbb{I}$$

$$D(R(\varphi)) = \left\{ \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n)!} \left( \frac{|\vec{\varphi}|}{2} \right)^{2n} \right\} \cdot \mathbb{I} - i \left\{ \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} \left( \frac{|\vec{\varphi}|}{2} \right)^{2n+1} \right\} \frac{\vec{\sigma} \cdot \vec{\varphi}}{|\vec{\varphi}|}$$

antisymmetrisch in  $k, l$

$$\cos\left(\frac{|\vec{\varphi}|}{2}\right)$$

$$\sin\left(\frac{|\vec{\varphi}|}{2}\right)$$

$$\Rightarrow D(R(\varphi)) = \mathbb{I} \cos\left(\frac{|\vec{\varphi}|}{2}\right) - i \frac{\vec{\sigma} \cdot \vec{\varphi}}{|\vec{\varphi}|} \sin\left(\frac{|\vec{\varphi}|}{2}\right)$$

• unitary, as claimed

• rotation of  $\psi$  in real space around a fixed axis  $\frac{\vec{\varphi}}{|\vec{\varphi}|}$  to recover unitarity

$\Rightarrow$  characteristic properties of a spinor

### 6.4 Spinor Representation of Boosts:

To evaluate:  $D(B(\vec{\beta})) = e^{+\frac{1}{2} \vec{\sigma} \cdot \vec{\beta}}$   $\xrightarrow{\vec{\sigma}^T = \vec{\sigma}}$   $D(B(\vec{\beta})) = D(B(\vec{\beta}))^\dagger$  Hermitian

$$D(B(\vec{\beta})) = \sum_{n=0}^{\infty} \frac{1}{(2n)!} \frac{(\vec{\sigma} \cdot \vec{\beta})^{2n}}{2^{2n}} + \sum_{n=0}^{\infty} \frac{1}{(2n+1)!} \frac{(\vec{\sigma} \cdot \vec{\beta})^{2n+1}}{2^{2n+1}}$$

$$\stackrel{(*)}{=} \left\{ \sum_{n=0}^{\infty} \frac{1}{(2n)!} \left(\frac{|\vec{\beta}|}{2}\right)^{2n} \right\} \mathbb{I} + \left\{ \sum_{n=0}^{\infty} \frac{1}{(2n+1)!} \left(\frac{|\vec{\beta}|}{2}\right)^{2n+1} \right\} \frac{\vec{\sigma} \cdot \vec{\beta}}{2}$$

$$= \cosh\left(\frac{|\vec{\beta}|}{2}\right)$$

$$\sinh\left(\frac{|\vec{\beta}|}{2}\right)$$

$$\Rightarrow D(B(\vec{\beta})) = \mathbb{I} \cosh\left(\frac{|\vec{\beta}|}{2}\right) + \frac{\vec{\sigma} \cdot \vec{\beta}}{|\vec{\beta}|} \sinh\left(\frac{|\vec{\beta}|}{2}\right) = e^{+\frac{1}{2} \vec{\sigma} \cdot \vec{\beta}} \quad (**)$$

To do: relate rapidity  $\vec{\beta}$  to momentum  $\vec{p}$

$$\underbrace{(p^\mu)}_{\text{rest frame}} = \begin{pmatrix} mc \\ \vec{0} \end{pmatrix}$$



$$p^\mu = B^\mu{}_\nu(\vec{\beta}) p^\nu_{\text{R}}$$

inertial frame

$$B^{\mu\nu}(\vec{\beta}) = \begin{pmatrix} \cosh(|\vec{\beta}|) & \\ & \frac{\vec{\beta}}{|\vec{\beta}|} \sinh(|\vec{\beta}|) \end{pmatrix} \quad \begin{matrix} \frac{\vec{\beta}}{|\vec{\beta}|} \sinh(|\vec{\beta}|) \\ \delta_{ij} + \frac{\beta_i \beta_j}{|\vec{\beta}|^2} (\cosh(|\vec{\beta}|) - 1) \end{matrix} \quad (***)$$

$$\Rightarrow (P^\mu) = \begin{pmatrix} mc \cosh(|\vec{\beta}|) \\ mc \frac{\vec{\beta}}{|\vec{\beta}|} \sinh(|\vec{\beta}|) \end{pmatrix} = \begin{pmatrix} p^0 \\ \vec{p} \end{pmatrix} = \begin{pmatrix} E/c \\ \vec{p} \end{pmatrix} \Rightarrow \begin{matrix} \cosh(|\vec{\beta}|) = \frac{p^0}{mc} \\ \frac{\vec{\beta}}{|\vec{\beta}|} = \frac{\vec{p}}{mc} \frac{1}{\sinh(|\vec{\beta}|)} \end{matrix}$$

$$\cosh\left(\frac{|\vec{\beta}|}{2}\right) = \sqrt{\frac{\cosh(|\vec{\beta}|) + 1}{2}} = \sqrt{\frac{p^0 + mc}{2mc}} \quad \sinh\left(\frac{|\vec{\beta}|}{2}\right) = \sqrt{\frac{\cosh(|\vec{\beta}|) - 1}{2}} = \sqrt{\frac{p^0 - mc}{2mc}}$$

$$\sinh(|\vec{\beta}|) = 2 \sinh\left(\frac{|\vec{\beta}|}{2}\right) \cosh\left(\frac{|\vec{\beta}|}{2}\right) = \frac{\sqrt{(p^0 + mc)(p^0 - mc)}}{mc}$$

$$D(B(\vec{\beta})) = I \sqrt{\frac{p^0 + mc}{2mc}} + \frac{\vec{\sigma} \cdot \vec{p}}{mc} \frac{mc}{\sqrt{(p^0 + mc)(p^0 - mc)}} \sqrt{\frac{p^0 - mc}{2mc}} = \frac{(p^0 + mc) \overset{=I}{\cancel{I}} \overset{=+}{\cancel{+}} \overset{(-)}{\cancel{\vec{\sigma} \cdot \vec{p}}}}{\sqrt{2mc(p^0 + mc)}}$$

Eigen-vectors of Pauli matrices:

$$(\sigma^\mu) = (\sigma^0, \sigma^k), \quad \sigma^0 = I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}; \quad p \cdot \sigma = p_\mu \sigma^\mu = g_{\mu\nu} p^\mu \sigma^\nu = p^0 \sigma^0 - \vec{p} \cdot \vec{\sigma}$$

$$D^{(1/2, 0)}(B(\vec{\beta})) = e^{-\frac{1}{2} \vec{\sigma} \cdot \vec{\beta}} = \frac{p \cdot \sigma + mc}{\sqrt{2mc(p^0 + mc)}}$$

Spatially inverted four vector:

$$(\tilde{x}) = (\tilde{x}^0, \tilde{x}^k) = (x^0, -x^k); \quad \tilde{\sigma} = (\tilde{\sigma}^0, \tilde{\sigma}^k) = (\sigma^0, -\vec{\sigma})$$

$$p \cdot \tilde{\sigma} = g_{\mu\nu} p^\mu \tilde{\sigma}^\nu = p^0 \sigma^0 + \vec{p} \cdot \vec{\sigma}$$

$$D^{(0, 1/2)}(B(\vec{\beta})) = e^{+\frac{1}{2} \vec{\sigma} \cdot \vec{\beta}} = \frac{p \cdot \tilde{\sigma} + mc}{\sqrt{2mc(p^0 + mc)}}$$

Note: additional calculation, which turns out to be useful later on

$$e^{-\frac{1}{2} \vec{\sigma} \cdot \vec{\beta}} = \sqrt{e^{-\vec{\sigma} \cdot \vec{\beta}}} \stackrel{(\times \times)}{=} \sqrt{I \cosh |\vec{\beta}| + \frac{\vec{\sigma} \cdot \vec{\beta}}{|\vec{\beta}|} \sinh |\vec{\beta}|}$$

$$\stackrel{(\times \times \times)}{=} \sqrt{\frac{p_0}{mc} \times + \frac{-\vec{\sigma} \cdot \vec{p}}{mc}}$$

$$e^{-\frac{1}{2} \vec{\sigma} \cdot \vec{\beta}} = \sqrt{\frac{p_0^2 - \vec{\sigma} \cdot \vec{p}}{mc}} = \sqrt{\frac{p_0}{mc}}, \quad e^{+\frac{1}{2} \vec{\sigma} \cdot \vec{\beta}} = \sqrt{\frac{p_0^2 + \vec{\sigma} \cdot \vec{p}}{mc}} = \sqrt{\frac{p_0}{mc}}$$

6.5 Lorentz Invariant Combination of Weyl Spinors:

$D^{(1/2,0)}$ ,  $D^{(0,1/2)}$ : smallest non-trivial representations of Lorentz group  
 Weyl spinors:

$$\chi_\alpha(x) \xrightarrow{\Lambda} \chi'_\alpha(x') = D^{(1/2,0)}(\Lambda)_{\alpha}{}^{\beta} \chi_\beta(x)$$

$$\xi^{\dot{\alpha}}(x) \longrightarrow \xi'^{\dot{\alpha}}(x') = D^{(0,1/2)}(\Lambda)^{\dot{\alpha}}{}_{\dot{\beta}} \xi^{\dot{\beta}}(x)$$

Aim: search for Lorentz-invariant action based on Weyl spinors  
 1) quadratic terms in Weyl spinors 2) first derivatives of Weyl spinors

First step: no partial derivative  $\Rightarrow$  mass term

$$\chi^+ \chi, \xi^+ \xi, \xi^+ \chi, \chi^+ \xi$$

$$\xrightarrow{\Lambda} \chi^+ \underbrace{D^{(1/2,0)}(\Lambda)}_{D^{-1}(R)} + \underbrace{D^{(1/2,0)}(\Lambda)}_{D(R)} \chi, \xi^+ \underbrace{D^{(0,1/2)}(\Lambda)}_{D^{-2}(R)} + \underbrace{D^{(0,1/2)}(\Lambda)}_{D(R)} \xi$$

$\Lambda = R:$   $D^{-1}(R)$   $D(R)$   $D^{-2}(R)$   $D(R)$

$$\xi^+ \underbrace{D^{(0, 1/2)^+}(\Lambda)}_{D^+(R)} \underbrace{\left\{ \frac{1}{2}, 0 \right\}(\Lambda)}_{D(R)} \xi, \quad \eta^+ \underbrace{D^{(1/2, 0)^+}(\Lambda)}_{D^-(R)} \underbrace{D^{(0, 1/2)}(\Lambda)}_{D(R)} \eta$$

Rotations:  $\Lambda = R \Rightarrow D^{(1/2, 0)}(R) = D^{(0, 1/2)}(R) = D(R), \quad D^+(R) = D^-(R)$

All four combinations of Ising spins are invariant with respect to rotation

Outlook:  $\Lambda = \mathbb{B} \Rightarrow$  only  $\xi^+$   $\xi$ ,  $\eta^+$   $\eta$  survive  
 $\hat{=}$  invariant under a boost