Bull. Math. Soc. Sci. Math. Roumanie<br>Tome 6x (10x) No. $x$, 2017, xx-yy

Tangent Space to the Orbit of an Algebraic Group Action<br>by<br>Deeba Afzal, Shamsa Kanwal, Gerhard Pfister


#### Abstract

We give an algorithm to compute a standard basis of the tangent space to the orbit of an algebraic group action. Using this standard basis we can compute the codimension of the tangent space, an important invariant in the classification of map germs. The task is not completely trivial since the tangent space is usually described as the sum of two infinite dimensional vector spaces given by two modules over different rings. We also explain how the standard basis can be computed using modular methods.


Key Words: standard basis, tangent space at orbit, right-left equivalence, map germs.
2010 Mathematics Subject Classification: Primary 14B05. Secondary 14H20, 14J17.

## 1 Introduction

Let $K$ be a field, $\mathrm{x}:=\left(x_{1}, \ldots, x_{n}\right)$, let $>$ be a local ordering ${ }^{1}$ on $K[[\mathrm{x}]]$ and denote by $>$ the extension of this ordering to the following ordering on

$$
\begin{aligned}
& K[[\mathrm{x}]]^{p}= \sum_{i=1}^{p} K[[\mathrm{x}]] e_{i}, \quad e_{i}=(0, \ldots, 1, \ldots, 0): \\
& \mathrm{x}^{\alpha} e_{i}>\mathrm{x}^{\beta} e_{j} \quad \text { if } \quad i<j \quad \text { or }\left(\quad i=j \quad \text { and } \quad \mathrm{x}^{\alpha}>\mathrm{x}^{\beta}\right) .
\end{aligned}
$$

Let $A(n, p)=<\mathrm{x}>K[[\mathrm{x}]]^{p}$, let $\mathcal{R}:=A u t_{K}(K[[\mathrm{x}]]), \mathcal{L}:=A u t_{K}(K[[\mathrm{y}]]), \mathrm{y}=\left(y_{1}, \ldots, y_{p}\right)$ and $\mathcal{M}:=\operatorname{Gl}(p, K[[\mathrm{x}]])$. Define the groups $\mathcal{A}:=\mathcal{R} \times \mathcal{L}$ and $\mathcal{K}:=\mathcal{R} \times \mathcal{M}$. If $K=\mathbb{C}$ the field of complex numbers then $A(n, p)$ can be considered as the set of map germs $\left(\mathbb{C}^{n}, 0\right) \longrightarrow\left(\mathbb{C}^{p}, 0\right), \mathcal{R}$ resp. $\mathcal{L}$ is the group of automorphism of $\left(\mathbb{C}^{n}, 0\right)$ resp. $\left(\mathbb{C}^{p}, 0\right)$.

In the classification of map germs the groups $\mathcal{A}$ and $\mathcal{K}$ resp. the tangent spaces to the orbits under the action of these groups and their codimension play an important role (cf. [BG82],[GH93],[Gi83],[Ri87],[RR91] and [Wa83]).

The group $\mathcal{A}($ resp. $\mathcal{K})$ acts on $A(n, p)$ as follows:

$$
\begin{array}{ll}
\mathcal{A} \times A(n, p) \longrightarrow A(n, p), & ((\phi, \psi), f) \mapsto \phi^{-1} \circ f \circ \psi \\
\mathcal{K} \times A(n, p) \longrightarrow A(n, p), & ((\phi, M), f) \mapsto \phi^{-1} \circ M f .
\end{array}
$$

[^0]If we write

$$
\begin{aligned}
& \mathcal{R}=\left\{\phi=\left(\begin{array}{c}
\phi_{1} \\
\vdots \\
\phi_{n}
\end{array}\right), \phi_{i} \in<\mathrm{x}>K[[\mathrm{x}]], \operatorname{det}\left(\frac{\partial \phi_{j}}{\partial x_{l}}(0)\right) \neq 0\right\} \\
& \mathcal{L}=\left\{\psi=\left(\begin{array}{c}
\psi_{1} \\
\vdots \\
\psi_{p}
\end{array}\right), \psi_{i} \in<\mathrm{y}>K[[\mathrm{y}]], \operatorname{det}\left(\frac{\partial \psi_{j}}{\partial y_{i}}(0)\right) \neq 0\right\}
\end{aligned}
$$

and

$$
\mathcal{M}=\left\{\psi=\left(\psi_{i j}\right), \psi_{i j} \in K[[\mathrm{x}]], \quad \operatorname{det}\left(\psi_{i j}\right) \text { a unit }\right\}
$$

then the action of $\mathcal{A}$ can be written explicitly as

$$
\phi^{-1} \circ f \circ \psi=\left(\begin{array}{c}
\psi_{1}\left(f_{1}\left(\bar{\phi}_{1}, \ldots, \bar{\phi}_{n}\right), \ldots, f_{p}\left(\bar{\phi}_{1}, \ldots, \bar{\phi}_{n}\right)\right) \\
\vdots \\
\psi_{p}\left(f_{1}\left(\bar{\phi}_{1}, \ldots, \phi_{n}\right), \ldots, f_{n}\left(\bar{\phi}_{1}, \ldots, \bar{\phi}_{n}\right)\right)
\end{array}\right), \phi^{-1}=\left(\begin{array}{c}
\bar{\phi}_{1} \\
\vdots \\
\bar{\phi}_{n}
\end{array}\right) .
$$

Similarly we obtain a formula for the $\mathcal{K}$-action:

$$
\phi^{-1} \circ M f=M\left(\begin{array}{c}
f_{1} \\
\vdots \\
f_{n}
\end{array}\right)\left(\bar{\phi}_{1}, \ldots, \bar{\phi}_{n}\right) .
$$

We assume now (only for the introduction) that $K$ is a field of characteristic 0 . Given $f \in A(n, p)$ we define the orbit map $\theta_{f}: \mathcal{A} \longrightarrow A(n, p)$ by $\theta_{f}(\phi, \psi)=\phi^{-1} \circ f \circ \psi$. Especially we have $\theta_{f}(i d)=f$. The image of $\theta_{f}$ is the orbit of $f$ under the action of $\mathcal{A}$, let $\mathcal{A}_{f}:=$ $\operatorname{Im}\left(\theta_{f}\right)$.

The corresponding tangent map

$$
T_{\mathcal{A}_{f}, i d}: T_{\mathcal{A}, i d} \longrightarrow T_{A(n, p), f}
$$

has an image the tangent space to the orbit at $f, T_{\mathcal{A}_{f}, f}$. This follows from the fact that we are in characteristic 0 and therefore the orbit map is separable (cf. [Bo98] and [Sp98]).

It is not difficult to see that

$$
T_{\mathcal{A}_{f}, f}=<\mathrm{x}>_{K[[\mathrm{x}]]}<\frac{\partial f}{\partial x_{1}}, \ldots, \frac{\partial f}{\partial x_{n}}>_{K[[\mathrm{x}]]}+<f_{1}, \ldots, f_{p}>_{K\left[\left[f_{1}, \ldots, f_{p}\right]\right]} K\left[\left[f_{1}, \ldots, f_{p}\right]\right]^{p}
$$

Note, this is a sum of $K$-vector spaces.
Since $T_{\mathcal{A}_{f}, f}$ is not an $K[[\mathrm{x}]]$ - module we cannot use ordinary standard bases to compute the codimension

$$
\operatorname{cod}_{\mathcal{A}}(f):=\operatorname{dim}_{K} A(n, p) / T_{\mathcal{A}_{f}, f} .
$$

Similarly we obtain a formula for the tangent space to the orbit at $f$ under the action of $\mathcal{K}$ replacing the automorphism $\psi$ by a matrix $\psi=\left(\psi_{i j}\right)$ :

$$
T_{\mathcal{K}_{f}, f}=<\mathrm{x}>_{K[[x]]}<\frac{\partial f}{\partial x_{1}}, \ldots, \frac{\partial f}{\partial x_{n}}>_{K[\mathrm{x}]]}+<f_{1}, \ldots, f_{p}>_{K[\mathrm{x}]]} K[[\mathrm{x}]]^{p}
$$

Here the situation is different. $T_{\mathcal{K}_{f}, f}$ is an $K[[\mathrm{x}]]$-module and we can compute the codimension

$$
\operatorname{cod}_{\mathcal{K}}(f):=\operatorname{dim}_{K} A(n, p) / T_{\mathcal{K}_{f}, f}
$$

computing a standard basis of $T_{\mathcal{K}_{f}, f}$. We define the extended tangent space with respect to the action of $\mathcal{A}$ (resp. $\mathcal{K})$ by

$$
T_{\mathcal{A}_{f}^{e}, f}:=<\frac{\partial f}{\partial x_{1}}, \ldots, \frac{\partial f}{\partial x_{n}}>_{K[\mathrm{x}]]}+K\left[\left[f_{1}, \ldots, f_{p}\right]\right]^{p}
$$

resp.

$$
T_{\mathcal{K}_{f}^{e}, f}:=<\frac{\partial f}{\partial x_{1}}, \ldots, \frac{\partial f}{\partial x_{n}}>_{K[[\mathrm{x}]]}+<f_{1}, \ldots, f_{p}>_{K[[\mathrm{x}]]} K[[\mathrm{x}]]^{p}
$$

Mather proved (cf. [Ma69]) that $f$ is finitely $\mathcal{A}$-determined if and only if $\operatorname{cod}_{\mathcal{A}}(f)<\infty$.
Definition 1. $f \in A(n, p)$ is $\mathcal{A}$-finitely determined if there exists $k>0$ such that for all $g \in A(n, p)$ with $\operatorname{jet}(f, k)=\operatorname{jet}(g, k)$ it follows that $g$ is in the orbit of $f$ under the action of $\mathcal{A}$.

The following theorem of Du Plessis (cf. [Du80]) gives a sufficient condition for $f \in$ $A(n, p)$ to be finitely determined:

Theorem 1. Let $f \in A(n, p)$ and suppose that

$$
\begin{aligned}
& <\mathrm{x}>^{l} K[[\mathrm{x}]]^{p} \subseteq T_{\mathcal{K}^{e}, f}+<x>^{l+1} K[[\mathrm{x}]]^{p} \\
& <\mathrm{x}>^{k} K[[\mathrm{x}]]^{p} \subseteq T_{A^{e}, f}+<\mathrm{x}>^{k+l} K[[\mathrm{x}]]^{p}
\end{aligned}
$$

Then $f$ is $k+l$ determined and $\langle\mathrm{x}\rangle^{k} K[[\mathrm{x}]]^{p} \subseteq T_{\mathcal{A}^{e}, f}$.
From now on we assume that f is $k$-determined. Let $\mathcal{A}^{(k)}=\operatorname{jet}(\mathcal{A}, k)$ and $A^{(k)}(n, p)=$ $j e t(A(n, p), k)$. Then $\mathcal{A}^{(k)}$ acts smoothly on $A^{(k)}(n, p)$.

We obtain

$$
T_{A_{f}^{(k)}, f}=T_{\mathcal{A}_{f}, f} /<\mathrm{x}>^{k+1} K[[\mathrm{x}]]^{p}
$$

and

$$
\operatorname{cod}_{\mathcal{A}}(f)=\operatorname{dim}_{K} A(n, p) /\langle\mathrm{x}\rangle^{k+1} K[[\mathrm{x}]]^{p}-\operatorname{dim}_{K} T_{\mathcal{A}_{f}^{(k)}, f}
$$

We define the notion of a standard basis for a $K$-vector space contained in $K[[\mathrm{x}]]^{p}$.
Definition 2. Let $U \subseteq K[[\mathrm{x}]]^{p}$ be a subspace of the $K$-vector space $K[[\mathrm{x}]]^{p}$ and $>$ a local monomial ordering. A subset $W \subseteq U$ is called standard basis of $U$ if $L(U)=L(W)$. Here $L(U)$ is the $K$-vector space generated by the leading monomials of $U$ with respect to the ordering $>$.

The aim of the paper is to give an algorithm to compute a standard basis of the vector space $T_{\mathcal{A}_{f}, f}$ resp. $T_{\mathcal{A}_{f}^{(k)}, f}$. In Section 2 we will generalize this problem and give a solution. As in the case of Gröbner bases it is easy to see that $\operatorname{dim}_{K} K[[\mathrm{x}]]^{p} / U=\operatorname{dim}_{K} K[[\mathrm{x}]]^{p} / L(\mathrm{U})$. In Section 3 we will describe a modular version of the algorithms of Section 2 and give timings in Section 4.

## 2 Standard bases of special subspaces of $K[[\mathrm{x}]]^{p}$

Let $R=K[[\mathrm{x}]], \mathrm{x}=<x_{1}, x_{2}, \ldots, x_{n}>$, let $M \subseteq R^{p}$ be an $R$-module such that $<\mathrm{x}>^{k}$ $R^{p} \subseteq M$ for some $k .{ }^{2}$ Let $N=<w_{1}, \ldots, w_{s}>_{K} \subseteq R^{p}$ be a finite dimensional $K$-vector space. The aim is to compute a standard basis for the $K$-vector space $M+N$ with respect to a given local monomial ordering $>$. We choose an extension of the ordering $>$ to $R^{p}$ as defined in Section 1. By assumption $\operatorname{dim}_{K} R^{p} / M<\infty$. Let $\left\{m_{1}, m_{2}, \ldots, m_{l}\right\}$ be the set of monomials of $R^{p}$ not being in $L(M)$. Assume that $m_{1}>\ldots>m_{l}$. Using a standard basis $G$ of $M$ (as $R$-module) we can compute the normal form of the generators $w_{i}$ of $N$ with respect to $M, N F\left(w_{i} \mid M\right)=N F\left(w_{i} \mid G\right)=\sum_{j=1}^{l} c_{i j} m_{j}, c_{i j} \in K$.

Let $\left(\overline{\mathrm{c}}_{i j}\right)$ be the matrix obtained from $\left(c_{i j}\right)$ in reduced row echelon form, i.e. the $\bar{c}_{i j}$ have the following properties: $\exists j_{1}, \ldots, j_{a}$ such that $\bar{c}_{i j_{i}}=1, \bar{c}_{i j}=0$ if $j<j_{i}, i=1, \ldots, a$ and $\bar{c}_{i j}=0$ if $i>a$.

Proposition 1. $L(M+N)$ is the $K$-vector space generated by the monomials of $L(M)$ and $\left\{m_{j_{1}}, \ldots, m_{j_{a}}\right\}$.

Proof. Let $f \in M+N, f=f_{M}+f_{N}, f_{M} \in M, f_{N} \in N$. If the leading monomial of $f$, $L M(f)$ is in $L(M)$ we are done. If $L M(f) \notin L(M)$ then
$N F(f \mid M)=N F\left(f_{N} \mid M\right) \in<m_{1}, \ldots, m_{l}>_{K}$ and $L M(f)=L M(N F(f \mid M))$.
Let $f_{N}=\sum_{i=1}^{t} c_{i} w_{i}+f_{T}, f_{T} \in<\mathrm{x}>^{k} R^{p} \subseteq M, c_{i} \in K$. This implies
$N F\left(f_{N} \mid M\right)=\sum_{i=1}^{t} c_{i} N F\left(w_{i} \mid M\right)$. All together we obtain
$N F(f \mid M)=\sum_{i=1}^{t} c_{i} N F\left(w_{i} \mid M\right)$. According to the definition of $j_{1}, j_{2}, \ldots, j_{a}$ we find $b$ such that $L M(N F(f \mid M))=m_{j_{b}}$.

Corollary 1. Let $G$ be a standard basis of $M$ as $R$-module and $H:=\left\{\mathrm{x}^{\alpha} g \mid g \in G, \alpha \in \mathbb{Z}_{\geq 0}^{n}\right\}$ and $L:=\left\{\sum_{j=1}^{1} \overline{c_{i j}} m_{j}, i=1, \ldots, a\right\}$. Then $H \cup L$ is a standard basis of $M+N$ as $K$-vector space.

## Corollary 2.

$$
\operatorname{dim}_{K} R^{p} /(M+N)=\operatorname{dim}_{K}\left(R^{p} / M\right)-a
$$

Definition 3. With the notations of corollary 1 we will call the pair $(G, L)$ a standard basis of $M+N$, if $G$ is a standard basis of $M$ (as R-module) and $\left\{\mathrm{x}^{\alpha} g \mid g \in G, \alpha \in \mathbb{Z}_{\geq 0}^{n}\right\} \cup L$ is a standard basis for $M+N$ (as $K$-vector space).

We call the pair $(G, L)$ a reduced standard basis, if $G$ is a reduced standard basis of $M$, $L$ is a set of monic polynomials, no monomial of a polynomial in $L$ is in $L(M)$ and $L$ is in reduced row echelon form.

Remark 1. A reduced standard basis of $M+N$ exists and is uniquely determined. This is a consequence of the fact that $M$ is zero-dimensional. The behavior with respect to local orderings in this situation the same as for global orderings.

[^1]We obtain the following algorithms to compute a standard basis and the codimension of a submodule in $K[[\mathrm{x}]]^{p} .{ }^{3}$

```
Algorithm 1 vStd
Input: \(M \subseteq K[[\mathrm{x}]]^{p} K[[\mathrm{x}]]\)-module, \(N \subseteq K[[\mathrm{x}]]^{p}\) finite dimensional \(K\)-vector space, bound
    an integer
Output: \((G, L)\) a standard basis of \(M+N+<\mathrm{x}>^{\text {bound }} K[[\mathrm{x}]]^{p}\)
    compute \(G\) a standard basis of \(M+<\mathrm{x}>^{\text {bound }} K[[\mathrm{x}]]^{p}\);
    use Gaussian algorithm to compute a reduced row echelon form of \(L:=\left\{L_{1}, \ldots, L_{t}\right\}\) of
    \(N F(N \mid G):=<N_{1}, \ldots, N_{s}>\) with respect to the ordering.
    return \((G, L)\);
```

```
Algorithm 2 codimMod
Input: \(f=\left\langle f_{1}, \ldots, f_{p}\right\rangle \subseteq K[[\mathrm{x}]]^{p}, M \subseteq K[[\mathrm{x}]]^{p}\) an \(K[[\mathrm{x}]]\)-module, \(N=<N_{1}, \ldots, N_{s}>\subseteq\)
    \(S^{p}\) an \(S=K[[f]]\) module and bound an integer
Output: codimension of \(M+N+<\mathrm{x}>^{\text {bound }} K[[\mathrm{x}]]^{p}\)
    compute \(N:=\left\{f_{1}^{i_{1}} \ldots f_{p}^{i_{p}} . N_{i} \mid f_{1}^{i_{1}} \ldots f_{p}^{i_{p}} . N_{i} \notin<\mathrm{x}>^{\text {bound }} K[[\mathrm{x}]]^{p}, \quad i=1, \ldots, s\right\}\)
    \((G, L):=v S t d(M, N\), bound \() ;\)
    return \(\operatorname{dim}\left(K[[\mathrm{x}]]^{p} / L(G)\right)-\# L\);
```

Remark 2. One important application is the following. Let $f=\left(f_{1}, f_{2}, \ldots, f_{p}\right) \in R^{p}$ and $S=K[[f]]$. Let $M \subseteq R^{p}$ be an $R$-module, and $N=<n_{1}, n_{2}, \ldots, n_{s}>_{S} \subseteq S^{p}$ a finitely generated $S$-module.

The aim is to compute a standard bases of the $K$-vector space $M+<\mathrm{x}>^{k} R^{p}+N$. Let $W=\left\{f_{1}^{i_{1}} \ldots f_{m}^{i_{m}} \cdot n_{i} \mid f_{1}^{i_{1}} \ldots f_{m}^{i_{m}} \cdot n_{i} \notin<\mathrm{x}>^{k} R^{p}, i=1, \ldots, s\right\}=:\left\{w_{1}, \ldots, w_{t}\right\}$ and $N_{0}=<$ $w_{1}, \ldots, w_{t}>_{K}$ then

$$
M+<\mathrm{x}>^{k} R^{p}+N=M+<\mathrm{x}>^{k} R^{p}+N_{0} .
$$

We obtain the following algorithm to compute the codimension of the tangent space of the orbit of a map germ under the action of $\mathcal{A}$.

We use the local reverse lexicographic ordering for $\mathbb{Q}[[x, y]]$ resp. $\mathbb{Q}[[t]]$ and with the extension to modules as defined in Section 1.

[^2]```
Algorithm 3 codimMap
Input: \(f=\left\langle f_{1}, \ldots, f_{p}\right\rangle \subseteq K[[\mathrm{x}]]\),
Output: extended codimension of \(\left\langle\frac{\partial f}{\partial x_{j}}\right\rangle+K[[f]]^{p}\)
    compute \(M:=<\frac{\partial f}{\partial x_{j}}>\);
    \(N:=K[[f]]^{p} ;\)
    bound \(=\) computeBound \((M, N, f)\);
    returncodimMod( \(M, N, f\), bound \()\);
```

The algorithm computeBound computes an estimate for the determinacy of $f$. It is based on Theorem 1 and computes $l$ such that $<\mathrm{x}>^{l} K[[\mathrm{x}]]^{p} \subseteq T_{\mathcal{K}^{e}, f}+<\mathrm{x}>^{l+1} K[[\mathrm{x}]]^{p}$ by computing a standard basis of $T_{\mathcal{K}^{e}, f}$ and checking case by case if $<\mathrm{x}>^{l} K[[\mathrm{x}]]^{p} \subseteq$ $T_{\mathcal{K}^{e}, f}+\left\langle\mathrm{x}>^{l+1} K[[\mathrm{x}]]^{p}\right.$. It uses $k=10$ as initial bound and increases the bound $k$ as long as $\left\langle\mathrm{x}>^{k} K[[\mathrm{x}]]^{p} \subseteq T_{\mathcal{A}^{e}, f}+<\mathrm{x}>^{k+l} K[[\mathrm{x}]]^{p}\right.$. Then by using Theorem $1 f$ is $k+l$ determined.

In the following examples we want to compute the codimension to the orbit for the action of $\mathcal{A}$. We give explicitly the standard basis $(G, L)$ and the codimension.
Example 1. $R=\mathbb{Q}[[x, y]], f=\left(x, x y+y^{4}\right), S=\mathbb{Q}\left[\left[x, x y+y^{4}\right]\right]$
$M=<x, y>.<\binom{1}{y},\binom{0}{x+4 y^{3}}>_{R}$
$N=<x, x y+y^{4}>S^{2}$. We obtain as standard basis for $M+<x>^{10} R^{2}$ (as $R$-module) $G=\left\{\binom{x}{0},\binom{y}{0},\binom{0}{x^{2}},\binom{0}{x y},\binom{0}{y^{10}}\right\}$ and

$$
\begin{aligned}
& L=\left\{\binom{0}{x},\binom{0}{y^{4}},\binom{0}{y^{5}},\binom{0}{y^{6}},\binom{0}{y^{7}},\binom{0}{y^{8}},\binom{0}{y^{9}}\right\} \\
& \text { Especially }
\end{aligned}
$$

$$
\operatorname{dim}_{\mathbb{Q}} R^{2} /\left(M+<x>^{10} R^{2}+N\right)=\operatorname{dim}_{\mathbb{Q}} R^{2} / M-7=5 .
$$

Example 2. $R=\mathbb{Q}[[t]], f=\left(t^{4}, t^{7}+t^{9}, t^{17}\right), S=\mathbb{Q}\left[\left[t^{4}, t^{7}+t^{9}, t^{17}\right]\right]$

$$
M=<\left(\begin{array}{c}
4 t^{3} \\
7 t^{6}+9 t^{8} \\
17 t^{16}
\end{array}\right)>_{R}, \text { and } N=S^{3}
$$

We obtain a standard basis for $M+<t>^{14} R^{3}$ (as $R$-module)

$$
\begin{aligned}
& G=\left\{\left(\begin{array}{c}
4 t^{3} \\
7 t^{6}+9 t^{8} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
t^{14} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
0 \\
t^{14}
\end{array}\right)\right\} \text { and } \\
& L=\left\{\left(\begin{array}{l}
1 \\
0 \\
0
\end{array}\right),\left(\begin{array}{l}
0 \\
1 \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
t^{4} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
t^{7}+9 / 7 t^{9} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
t^{8} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
t^{9} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
t^{10}+16 / 7 t^{12} \\
0
\end{array}\right),\right. \\
& \left.\left(\begin{array}{c}
0 \\
t^{11}+9 / 7 t^{13} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
t^{12} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
t^{13} \\
0
\end{array}\right),\left(\begin{array}{c}
0 \\
0 \\
1
\end{array}\right),\left(\begin{array}{c}
0 \\
0 \\
t^{4}
\end{array}\right),\left(\begin{array}{c}
0 \\
0 \\
t^{7}
\end{array}\right),\left(\begin{array}{c}
0 \\
0 \\
t^{8}
\end{array}\right),\left(\begin{array}{c}
0 \\
0 \\
t^{11}
\end{array}\right),\left(\begin{array}{c}
0 \\
0 \\
t^{12}
\end{array}\right)\right\} . \\
& \quad \operatorname{dim}_{\mathbb{Q}} R^{3} /\left(M+<t>^{14} R^{3}+N\right)=\operatorname{dim}_{\mathbb{Q}} R^{3} /\left(M+<t>^{14} R^{3}\right)-16=15 .
\end{aligned}
$$

## 3 Modular Computations

Modular computation reduces the computation of standard basis to the case of finite fields, when we apply in Section 2. In this section we describe how to compute the standard basis for the vector spaces described in Section 2 with modular methods. Let $K=\mathbb{Q}$ be the field of rational numbers. As before we fix a local monomial ordering $>$ on $R:=K[[\mathrm{x}]]$ and extend it to a module ordering on $R^{q}$. Let $M \subseteq R^{q}$ be an $R$-module such that

1. $M \supseteq<\mathrm{x}>^{k} R^{q}$ for some $k$.
2. $M=<f_{1}, \ldots, f_{r}>_{R}$ with $f_{i} \in K[\mathrm{x}]$.

Let $N=<w_{1}, \ldots, w_{s}>_{K}$ be a finitely generated sub space in $R^{q}, w_{i} \in K[x]$. The aim is to compute a standard basis $(G, L)$ of $M+N$ using modular methods.

The idea is as follows. Choose $P$, a finite set of primes which do not divide any denominator of the coefficients of the $f_{i}$ and $w_{j}$, compute a reduced standard basis $\left(G_{p}, L_{p}\right)$ of $M_{p}+N_{p} \subseteq \mathbb{F}_{p}[[\mathrm{x}]]^{q}$, for every $p \in P$. Here $M_{p}:=<f_{1} \bmod p, \ldots, f_{r} \bmod p>$ and $N_{p}=<w_{1} \bmod p, \ldots, w_{s} \bmod p>$. Then lift these modular standard basis to a standard basis $(G, L)$ of $M+N$. The lifting process consists of two steps. Using Chinese remainder algorithm we can lift $\left\{\left(G_{p}, L_{p}\right)\right\}_{p \in P}$ to $\left(G_{a}, L_{a}\right)$ over $\mathbb{Z} / a[[\mathrm{x}]]^{q}$ with $a:=\prod_{p \in P} p$. Since $\left(G_{a}, L_{a}\right)$ is uniquely determined modulo $a$, we need $a$ to be larger then the moduli of all coefficients occurring in a standard basis over $\mathbb{Q}$. The problem is that we do not know this in advance. The second step uses the Farey rational map (cf. [KG83]). This map gives a unique result provided $\sqrt{a / 2}$ is larger than the moduli of all coefficients in $(G, L)$. This motivates the following definition (cf. [IPS11]) :

Definition 4. Let $(G, L)$ be a standard basis of $M+N$.

1. If $\left(G_{p}, L_{p}\right)$ is a standard basis of $M_{p}+N_{p}$ then $p$ is called lucky for $M+N$ if $G$ and $G_{p}$ have the same leading monomials, $L M(G)=L M\left(G_{p}\right)$, and $L$ and $L_{p}$ have the same leading monomials, $L M(L)=L M\left(L_{p}\right)$. If $p$ is not lucky for $M+N$ it is called unlucky.
2. A set $P$ of lucky primes for $M+N$ is called sufficiently large for $M+N$ if

$$
\prod_{p \in P} p \geq \max \left\{2|c|^{2} \mid c \text { a coefficient in } G \text { or } L\right\}
$$

Since we do not know the standard basis we cannot test a single prime for being unlucky (for more details see [BDFP15]. Therefore we fix a set of primes $P$. After having computed the set of standard bases $B:=\left\{\left(G_{p}, L_{p}\right) \mid p \in P\right\}$ we delete unlucky primes as follows by majority vote.

DeleteUnluckyPrimes. We define an equivalence relation on $(B, P)$ by

$$
\left(G_{p}, L_{p}\right) \sim\left(G_{p^{\prime}}, L_{p^{\prime}}\right) \Leftrightarrow L M\left(G_{p}\right)=L M\left(G_{p^{\prime}}\right) \quad \text { and } \quad L M\left(L_{p}\right)=L M\left(L_{p^{\prime}}\right)
$$

The equivalence class of largest cardinality is stored in $(B, P)$, the others are deleted.

Similarly to [Pf07] and [IPS11] we obtain the following algorithm.
Assume $>$ is a local ordering.

```
Algorithm 4 modVStd
Input: \(M \subseteq \mathbb{Q}[[\mathrm{x}]]^{q}, \mathbb{Q}[[\mathrm{x}]]\)-module, \(N \subseteq \mathbb{Q}[[\mathrm{x}]]^{q}\) finite dimensional \(\mathbb{Q}\)-vector space, bound
    an integer
Output: \((G, L)\) a standard basis of \(M+N+\left\langle\mathrm{x}>^{\text {bound }} \mathbb{Q}[[\mathrm{x}]]^{q}\right.\)
    choose \(P\), a list of random primes;
    \(B:=\emptyset ; M:=M+<\mathrm{x}>^{\text {bound }} \mathbb{Q}[[\mathrm{x}]]^{q}\)
    loop
        for \(p \in P\) do
            compute the reduced standard basis \(\left(G_{p}, L_{p}\right)\) of \(M_{p}+N_{p}\)
            \(B:=B \bigcup\left\{\left(G_{p}, L_{p}\right)\right\}\)
            \((B, P)=\) DeleteUnluckyPrimes \((B, P)\);
        lift \((B, P)\) to \((G, L), G, L \subseteq \mathbb{Q}[\mathrm{x}]^{q}\) using Chinese remainder algorithm and Farey
    rational map;
        if \(M \subseteq<G>_{\mathbb{Q}[[\mathrm{x}]]}\) and \(M+N \subseteq<G>_{\mathbb{Q}[[\mathrm{x}]]}+<L>_{\mathbb{Q}}\) then
            if \(G\) is a standard basis of \(M\) as \(\mathbb{Q}[[\mathrm{x}]]\)-module then
                return \((G, L)\);
        enlarge \(P\);
```

The algorithm terminates by construction and its correctness follows by the next theorem which goes back to E. Arnold (cf. [Ar03]) for homogenous ideals and was proved in [GP07] for ideals and local orderings.

Theorem 2. Let $M, N$ be as above, let $p$ be a prime and $G, L \subseteq \mathbb{Q}[\mathrm{x}]^{q}$ such that $L M(G)=$ $L M\left(G_{p}\right)$ and $L M(L)=L M\left(L_{p}\right)$. Assume that $\left(G_{p}, L_{p}\right)$ is a reduced standard basis of $M_{p}+N_{p}$ and $G$ is a standard basis of $<G>_{\mathbb{Q}[[\mathrm{x}]]}$. Assume that $M \subseteq<G>_{\mathbb{Q}[[\mathrm{x}]]}$ and $M+N \subseteq<G>_{\mathbb{Q}[[\mathrm{x}]]}+<L>_{\mathbb{Q}}$ then $(G, L)$ is a reduced standard basis ${ }^{4}$ of $M+N$.

Proof. Since $G_{p}$ is a reduced standard basis of $M_{p}$ and $M \subseteq<G>_{\mathbb{Q}[\mathrm{xx}]]}$ and $G$ is a standard basis of $\langle G\rangle$ we obtain from [Pf07] (which can be proved for modules similarly to the case of ideals) that $M=<G>_{\mathbb{Q}[[\mathrm{x}]]}$. The lifting $G$ of $G_{p}$ is a reduced standard basis since $G$ is a standard basis and $G_{p}$ is a reduced standard basis. Since $L_{p}$ is in reduced echelon form the lifting $L$ is also in reduced echelon form. Since $L_{p}$ is a set of monic vectors and no monomial of a vector in $L_{p}$ is in $L\left(M_{p}\right)$ the same holds for $L$. This implies that $(G, L)$ is a reduced standard basis of $<G>_{\mathbb{Q}[[\mathrm{x}]]}+<L>_{\mathbb{Q}}$.

It remains to prove that $M+N=<G>_{\mathbb{Q}[[\mathrm{x}]]}+<L>_{\mathbb{Q}}=M+<L>_{\mathbb{Q}}$. We know that $M+N \subseteq<G>_{\mathbb{Q}[[\mathrm{x}]]}+<L>_{\mathbb{Q}}$. This implies that

$$
\operatorname{dim}_{\mathbb{Q}} M+N /<\mathrm{x}>^{k} R^{q} \leq \operatorname{dim}_{\mathbb{Q}} M+<L>_{\mathbb{Q}} /<\mathrm{x}>^{k} R^{q} .
$$

[^3]On the other hand we have for $p \in P$ that

$$
\operatorname{dim}_{\mathbb{Q}} M+N /<\mathrm{x}>^{k} R^{q} \geq \operatorname{dim}_{\mathbb{F}_{p}} M_{p}+N_{p} /<\mathrm{x}>^{k} \mathbb{F}_{p}[[\mathrm{x}]]^{q}
$$

But

$$
\operatorname{dim}_{\mathbb{Q}} M+<L>_{\mathbb{Q}} /<\mathrm{x}>^{k} R^{q}=\operatorname{dim}_{\mathbb{F}_{p}} M_{p}+N_{p} /<\mathrm{x}>^{k} \mathbb{F}_{p}[[\mathrm{x}]]^{q}
$$

implies the result we were looking for.

## 4 Examples and timings

We create the examples (coming from the classification of map germs) as follows:
Given $f=\left(f_{1}, f_{2}\right), f_{i} \in \mathbb{C}[[\mathrm{x}]]$ and $\phi, \psi: \mathbb{C}[[\mathrm{x}]] \longrightarrow \mathbb{C}[[\mathrm{x}]]$. We construct the $\mathcal{A}$ equivalent map germ $F$ defined by $(\phi, \psi)$, i.e. $F=\psi \circ f \circ \phi^{-1}$.

Let $M=<\mathrm{x}><\frac{\partial F}{\partial \mathrm{x}}>$ and $N=F \mathbb{C}[[F]]^{2}$ (tangent space) in case of two variables x resp. $M=<\frac{\partial F}{\partial \mathrm{x}}>, N=\mathbb{C}[[F]]^{2}$ (extended tangent space) in case of one variable x. Given a bound $b$ we compute $v \operatorname{Std}(M, N, b)$, its modularized version and the parallel modular version and give the corresponding timings.

## Example 3.

$$
\begin{aligned}
& \text { bound }=15, f_{1}=x, f_{2}=x y+y^{5}+y^{7}, g_{1}=f_{1}+f_{1} f_{2}, f=<g_{1}, g_{2}> \\
& \phi(x, y)=\left(x+y+x^{3}+x y^{3}+y^{11}+y^{14}+x y^{17}, y+y^{2}+x^{3}+2 x^{3} y+x^{6}+y^{14}+2 y^{15}+\right. \\
& \left.2 x^{3} y^{14}+x y^{17}+2 x y^{18}+2 x^{4} y^{17}+y^{28}+2 x y^{31}+x^{2} y^{34}\right) \\
& F=\phi(f), M=<x, y><\frac{\partial F}{\partial x}, \frac{\partial F}{\partial y}>, N=F \mathbb{C}[[F]]^{2}
\end{aligned}
$$

## Example 4.

$$
\begin{aligned}
& \text { bound }=15, f_{1}=x, f_{2}=x y^{2}+y^{5}+y^{9}, f=<f_{1}, f_{2}> \\
& \phi(x, y)=\left(x+x y^{4}, y+x^{2}+y^{11}\right) \\
& F=\phi(f), M=<x, y><\frac{\partial F}{\partial x}, \frac{\partial F}{\partial y}>, N=F \mathbb{C}[[F]]^{2}
\end{aligned}
$$

## Example 5.

$$
\begin{aligned}
& \text { bound }=15, f=<x, x^{2} y+y^{4}>, \phi(x, y)=\left(x+x y^{4}, y+x^{2}+y^{11}\right) \\
& F=\phi(f), M=<x, y><\frac{\partial F}{\partial x}, \frac{\partial F}{\partial y}>, N=F \mathbb{C}[[F]]^{2}
\end{aligned}
$$

## Example 6.

$$
\begin{aligned}
& \text { bound }=250, f_{1}=t^{56}, f_{2}=t^{70}+t^{91}, f_{3}=t^{84}+2 t^{105}, f_{4}=t^{101} \\
& g_{1}=f_{1}+231 f_{2} f_{1}+311 f_{1} f_{3}+71 f_{1}^{2}+611 f_{1} f_{2} f_{3}, g_{2}=f_{2}+911 f_{1}^{2}+511 f_{2} f_{3}+f_{1}^{2} f_{2}^{2} \\
& g_{2}=f_{2}+911 f_{1}^{2}+511 f_{2} f_{3}+f_{1}^{2} f_{2}^{2}, g_{3}=f_{3}+731 f_{1} f_{2} f_{3}+1171 f_{3}^{2}+f_{2}^{3} \\
& \phi(t)=t+22 t^{2}+323 t^{3}+555 t^{4}+777 t^{6}
\end{aligned}
$$

$$
F=\phi(f), M=<\frac{\partial F}{\partial t}>, N=\mathbb{C}[[F]]^{2}
$$

## Example 7.

$$
\begin{aligned}
& \text { bound }=300, f_{1}=t^{80}, f_{2}=t^{120}+t^{140}+t^{150}+t^{175}, f_{3}=t^{181} \\
& g_{1}=f_{1}+231 f_{2} f_{1}+71 f_{1}^{2}, g_{2}=f_{2}+911 f_{1}^{2}+f_{1}^{2} f_{2}^{2}, g_{3}=f_{3}+f_{1} f_{2}, f=<g_{1}, g_{2}, g_{3}> \\
& \phi(t)=t+55 t^{2}+366 t^{3}+577 t^{4}+788 t^{6}, F=\phi(f), M=<\frac{\partial F}{\partial t}>, N=\mathbb{C}[[F]]^{2}
\end{aligned}
$$

## Example 8.

$$
\begin{aligned}
& \text { bound }=350, f_{1}=t^{84}, f_{2}=t^{140}+t^{210}+t^{245}, f_{3}=t^{139} \\
& g_{1}=f_{1}+231 f_{2} f_{1}+715 f_{1}^{2}, g_{2}=f_{2}+911 f_{1}^{2}+4567 f_{1}^{2} f_{2}^{2}, g_{3}=f_{3}+333 f_{1} f_{2} \\
& f=<g_{1}, g_{2}>, \phi(t)=t+22 t^{2}+333 t^{3}+544 t^{4}+755 t^{6}+567 t^{7}, F=\phi(f) \\
& M=<\frac{\partial F}{\partial t}>, N=\mathbb{C}[[F]]^{2}
\end{aligned}
$$

We summarize the results in the table below where modVStd0 denotes the parallelized version of modVStd computing the characteristic $p$ results in parallel. All timings are given in seconds. The computation are made on a Dell PowerEdge R720 with two Intel(R) Xeon(R) CPU E5 - $2690 @ 2.90 \mathrm{GHz}, 20 \mathrm{MB}$ Cache, 16 Cores, 32 Threads, 192 GB RAM with a Linux operating system (Gentoo). "-" means that the task did not finish because of memory overflow.

The timings show that as expected for complicated examples the parallel modular version of vStd is more successful. For simple examples the overhead dominates the computation.

| Example | vStd | modVStd | modVStd0 |
| :---: | :---: | :---: | :---: |
| 3 | 4123 | 2942 | 52 |
| 4 | - | 532 | 324 |
| 5 | - | 3 | 8 |
| 6 | 2258 | 36 | 24 |
| 7 | 56 | 19 | 12 |
| 8 | 864 | 4 | 14 |

Acknowledgement. This research was partly supported by the DAAD and ASSMS. We would like to thank the referee for giving several hints improving the presentation of the results.

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[^0]:    ${ }^{1}$ For the definition and properties see [GP07].

[^1]:    ${ }^{2}$ This implies $\operatorname{dim}_{K} R^{p} / M<\infty$.

[^2]:    ${ }^{3}$ These algorithms are implemented in the Singular library classifyMapGerms.lib (cf. [AKP16]).

[^3]:    ${ }^{4}$ We do not assume that the prime is lucky, but it is a consequence of the theorem.

