

SIMPLE SINGULARITIES OF PARAMETRIZED PLANE CURVES IN POSITIVE CHARACTERISTIC

KHAWAR MEHMOOD AND GERHARD PFISTER

ABSTRACT. Let K be an algebraically closed field of characteristic $p > 0$. The aim of the article is to give a classification of simple parametrized plane curve singularities over K . The idea is to give explicitly a class of families of singularities which are not simple such that almost all singularities deform to one of those and show that remaining singularities are simple.

Key words : parametrized plane curves, simple singularities, characteristic p .
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1. INTRODUCTION

The study and the classification of singularities have a long history. Very important contributions go back to Zariski (cf. [13]) and Arnold (cf. [1]). Most of the results were obtained over the complex numbers. Greuel and his students started a classification for hypersurface singularities in characteristic p (cf. [2],[3],[7], [8]). Bruce and Gaffney (cf. [4]) classified the simple parametrized plane curve singularities over the complex numbers (for space curves cf. [6]). The aim of this paper is to give a similar classification in positive characteristic.

Let K be an algebraically closed field of characteristic p . A parametrization of a germ of a plane curve singularity is given by a pair $(x(t), y(t))$ of power series, $x(t), y(t) \in K[[t]]$. Two parametrizations $(x(t), y(t))$ and $(\bar{x}(t), \bar{y}(t))$ are \mathcal{A} -equivalent (we write $(x(t), y(t)) \sim_{\mathcal{A}} (\bar{x}(t), \bar{y}(t))$) if there exist automorphisms

$$\psi : K[[t]] \rightarrow K[[t]] \text{ and } \varphi = (\varphi_1, \varphi_2) : K[[x, y]] \rightarrow K[[x, y]]$$

such that

$$(x(\psi(t)), y(\psi(t))) = (\varphi_1(\bar{x}(t), \bar{y}(t)), \varphi_2(\bar{x}(t), \bar{y}(t))).$$

We will always assume that a given parametrization $(x(t), y(t))$ is primitive, i.e. $\dim_K K[[t]]/K[[x(t), y(t)]] =: \delta < \infty$. Furthermore we may assume that $\text{ord}_t x(t) =: n < \text{ord}_t y(t) =: m$ and $n \nmid m$.

Given a parametrization $f = (x(t), y(t))$ we denote by Γ_f (or just Γ if f is fixed) the semigroup

$$\Gamma_f = \{\text{ord}_t(h) \mid h \in K[[x(t), y(t)]]\}.$$

Generators of the semigroup can be computed using a sagbi basis (cf. [10]) for $K[[x(t), y(t)]]$.

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As in characteristic 0 it is easy to see ([11], [13]) that the following hold.

Theorem 1. (Zariski)

(1) In case $p \nmid n$ (resp. $p \nmid m$) the parametrization is \mathcal{A} -equivalent to

$$(t^n, \bar{y}(t)) \text{ (resp. } (\bar{x}(t), t^m)) \text{ for suitable } \bar{y}(t) \text{ (resp. } \bar{x}(t))$$

with $\text{ord}_t \bar{y}(t) = m$ (resp. $\text{ord}_t \bar{x}(t) = n$).

(2) Assume that $k \in \Gamma$ then

$$(t^n, t^m + \sum_{i>m} a_i t^i) \sim_{\mathcal{A}} (t^n, t^m + \sum_{i>m} a'_i t^i)$$

with $a_i = a'_i$ if $i < k$ and $a'_k = 0$.

(3) Assume that $p \nmid m$ and $k + n - m \in \Gamma$ then

$$(t^n, t^m + \sum_{i>m} a_i t^i) \sim_{\mathcal{A}} (t^n, t^m + \sum_{i>m} a'_i t^i)$$

with $a_i = a'_i$ if $i < k$ and $a'_k = 0$.

Definition 2. A parametrization $(x(t), y(t))$ is called simple if there are only finitely many \mathcal{A} -equivalent classes in a deformation of $(x(t), y(t))$.

Remark 1. As an immediate consequence we obtain:

Given two parametrizations $(x(t), y(t))$ (resp. $(\bar{x}(t), \bar{y}(t))$) and $(x(t), y(t))$ not simple. If $(x(t), y(t))$ is \mathcal{A} -equivalent to a parametrization in a deformation of $(\bar{x}(t), \bar{y}(t))$ then $(\bar{x}(t), \bar{y}(t))$ is not simple.

The classification is based on the following idea:

- (1) Find special classes of non-simple parametrizations such that all non-simple parametrizations have one of them represented in a suitable deformation.
- (2) Especially parametrizations with $n \geq 5$ or $n = 4$ and $m \geq 9$ are not simple.
- (3) Find normal forms depending on the semigroup for the remaining cases.
- (4) The candidates for simple parametrizations have semigroups generated by at most 3 elements. These semigroups behave semicontinuously¹ in a deformation.

In characteristic 0 we obtain the following list of simple parametrizations (cf.[4], [12]):

¹Let $\Gamma = \langle \beta_0, \dots, \beta_l \rangle$ and $\bar{\Gamma} = \langle \bar{\beta}_0, \dots, \bar{\beta}_k \rangle$ two semigroups given by the minimal set of generators. We define $\Gamma \leq \bar{\Gamma}$ iff $\Gamma = \bar{\Gamma}$ or there exists $i \leq \min(l, k)$ such that $\beta_0 = \bar{\beta}_0, \dots, \beta_{i-1} = \bar{\beta}_{i-1}$ and $\beta_i < \bar{\beta}_i$.

In a deformation of a parametrization with semigroup Γ the semigroup is smaller or equal to Γ .

Characteristic $p = 0$	
Γ	Normal Form
$\langle 1 \rangle$	$(t, 0)$
$\langle 2, 2k + 1 \rangle$	(t^2, t^{2k+1})
$\langle 3, 3k + 1 \rangle$	$(t^3, t^{3k+1} + t^{3l+2}), 1 \leq k \leq l < 2k - 1$ (t^3, t^{3k+1})
$\langle 3, 3k + 2 \rangle$	$(t^3, t^{3k+2} + t^{3l+1}), 1 \leq k < l \leq 2k - 1$ (t^3, t^{3k+2})
$\langle 4, 5 \rangle$	$(t^4, t^5 + t^7)$ (t^4, t^5)
$\langle 4, 6, 2k + 7 \rangle$	$(t^4, t^6 + t^{2k+1}), k \geq 3$
$\langle 4, 7 \rangle$	$(t^4, t^7 + t^9)$ $(t^4, t^7 + t^{13})$ (t^4, t^7)

The main result of this paper is the following Theorem:

Theorem 3. *If the characteristic of K is greater than 0, we obtain in the following two tables the classification of simple parametrizations.*

Characteristic $p = 2$	
Γ	Normal Form
$\langle 1 \rangle$	$(t, 0)$
$\langle 2, 2k + 1 \rangle$	(t^2, t^{2k+1}) $(t^2 + t^{2m+1}, t^{2k+1}), 0 < m < k$
$\langle 3, 4 \rangle$	(t^3, t^4) $(t^3, t^4 + t^5)$
$\langle 3, 5 \rangle$	(t^3, t^5)
$\langle 3, 7 \rangle$	(t^3, t^7) $(t^3, t^7 + t^8)$

Characteristic $p \geq 3$	
Γ	Normal Form
$\langle 1 \rangle$	$(t, 0)$
$\langle 2, 2k + 1 \rangle$	(t^2, t^{2k+1})
$\langle 3, 3k + 1 \rangle$	$(t^3, t^{3k+1} + t^{3l+2}), 1 \leq k \leq l < 2k - 1$ (t^3, t^{3k+1}) $k \leq \frac{cp+5}{3}$ with $c = p \pmod 3, 0 \leq c \leq 2$
$\langle 3, 3k + 2 \rangle$	$(t^3, t^{3k+2} + t^{3l+1}), 1 \leq k < l \leq 2k - 1$ (t^3, t^{3k+2}) $k \leq \frac{cp+4}{3}$ with $c = 2p \pmod 3, 0 \leq c \leq 2$ additionally $(t^3 + t^4, t^5)$ if $p = 3$
$\langle 4, 5 \rangle$	$(t^4, t^5 + t^7)$ (t^4, t^5) additionally $(t^4, t^5 + t^6)$ if $p = 5$
$\langle 4, 6, 2k + 7 \rangle$	$(t^4, t^6 + t^{2k+1}), k \geq 3$ and $p \neq 13$ $k = 3$ if $p = 3$ or $p = 5$ $k \leq 6$ if $p = 7$ $k \leq 12$ if $p = 11$ $k \leq \frac{p-9}{2}$ if $p \geq 17$
$\langle 4, 7 \rangle$	$p \neq 7$ $(t^4, t^7 + t^9)$ $(t^4, t^7 + t^{13})$ (t^4, t^7)

2. SOME CLASSES OF NON SIMPLE CURVES

In this section we will prove that in any characteristic parametrizations with $n \geq 5$ or $n = 4$ and $m \geq 9$ are not simple. We will also see that in characteristic 3 parametrizations with semigroup $\langle 3, 7 \rangle$ and in characteristic 7 parametrizations with semigroup $\langle 4, 7 \rangle$ are not simple.

Lemma 1.

- (1) $(t^4, t^9 + t^{10} + \sum_{i \geq 11} a_i t^i) \sim_{\mathcal{A}} (t^4, t^9 + t^{10} + \sum_{i \geq 11} b_i t^i)$ implies $a_{11} = b_{11}$.
- (2) $(t^5, t^6 + t^8 + \sum_{i > 8} a_i t^i) \sim_{\mathcal{A}} (t^5, t^6 + t^8 + \sum_{i > 8} b_i t^i)$ implies $a_9 = b_9$.

Proof. Assume that $\varphi : K[[t]] \rightarrow K[[t]]$ is an automorphism defined by $\varphi(t) = t + \sum_{i \geq 2} c_i t^i$, $H, L \in K[[x, y]]$ with $H = H_1x + H_2y + H_3x^2 + H_4xy + \dots$ $L = L_1x + L_2y + L_3x^2 + L_4xy + \dots$ such that

- (i) $\varphi(t)^4 = H(t^4, t^9 + t^{10} + \sum_{i>10} a_i t^i)$
(ii) $\varphi(t)^9 + \varphi(t)^{10} + \sum_{i>10} b_i \varphi(t)^i = L(t^4, t^9 + t^{10} + \sum_{i>10} a_i t^i)$.

From (i) obtain $H_1 = 1$ and $c_2 = c_3 = 0$ comparing the coefficients of t^4, t^5 and t^6 . From (ii) we obtain $a_{11} = b_{11}$ looking at the coefficient of t^{11} . This proves (1). Similarly (2) can be proved. \square

Lemma 2. *Let K be a field of characteristic 3 then the parametrizations with semigroup $\langle 3, 7 \rangle$ and $\langle 5, 6 \rangle$ are not simple.*

Proof. It is not difficult to see that

- (1) $(t^3 + t^4 + \sum_{i \geq 5} a_i t^i, t^7) \sim_{\mathcal{A}} (t^3 + t^4 + \sum_{i \geq 5} b_i t^i, t^7)$ implies $a_5 = b_5$
(2) $(t^5, t^6 + \sum_{i \geq 8} a_i t^i) \sim_{\mathcal{A}} (t^5, t^6 + \sum_{i \geq 8} b_i t^i)$ and $a_9 = b_9 = 1$
implies $a_8 = b_8$.

The proof is similar to the proof of the previous lemma. \square

Corollary 1. *Parametrizations with semigroup $\langle 4, 9 \rangle$ and $\langle 5, 6 \rangle$ are not simple.*

Proof. The corollary is a consequence of the lemma 1 and 2, since

$$(t^4, t^9 + \sum_{i \geq 10} a_i t^i) \sim_{\mathcal{A}} (t^4, t^9 + t^{10} + \frac{a_{11}}{a_{10}} t^{11} + \dots) \text{ if } a_{10} \neq 0 \text{ and } (t^5, t^6 + \sum_{i \geq 7} a_i t^i) \sim_{\mathcal{A}}$$

$$(t^5, t^6 + t^8 + \frac{35a_7^3 - 63a_7 a_8 + 27a_9}{27(12a_8 + 13a_7^2)} t^9 + \dots) \text{ if } 12a_8 + 13a_7^2 \neq 0 \text{ and } p \neq 3.$$

If $p = 3$ we use lemma 2. \square

Lemma 3. *Let K be a field of characteristic 7 then the parametrizations with semigroup $\langle 4, 7 \rangle$ are not simple.*

Proof. It is not difficult to see that $(t^4, t^7 + t^9 + \sum_{i \geq 10} a_i t^i) \sim_{\mathcal{A}} (t^4, t^7 + t^9 + \sum_{i \geq 10} b_i t^i)$ implies $a_{10} = b_{10}$. \square

3. CURVES WITH SEMIGROUP $\langle 3, 3k + 1 \rangle$ OR $\langle 3, 3k + 2 \rangle$

In this section we assume that the characteristic $p > 3$. The aim of this section is to find depending on the characteristic p a minimal k_0 such that $(t^3, t^{3k+1} + \sum_{i \geq 3k+2} a_i t^i)$ (with semigroup $\langle 3, 3k + 1 \rangle$) resp. $(t^3, t^{3k+2} + \sum_{i \geq 3k+3} a_i t^i)$ (with semigroup $\langle 3, 3k + 2 \rangle$) is not simple for all $k \geq k_0$. We will see that in case of semigroup $\langle 3, 3k + 1 \rangle$ we obtain $k_0 = \frac{p+8}{3}$ if $p \equiv 1 \pmod{3}$ and $k_0 = \frac{2p+8}{3}$ if $p \equiv 2 \pmod{3}$. If the semigroup is $\langle 3, 3k + 2 \rangle$ we obtain the minimal k_0 such that $(t^3, t^{3k+2} + \sum_{i \geq 3k+3} a_i t^i)$ is not simple for all $k \geq k_0$ as $k_0 = \frac{2p+7}{3}$ if $p \equiv 1 \pmod{3}$ and $k_0 = \frac{p+7}{3}$ if $p \equiv 2 \pmod{3}$.

We first consider parametrizations with semigroup $\langle 3, 3k + 1 \rangle$. Let $(x(t), y(t))$ be a primitive parametrization with $ord_t x(t) = 3, ord_t y(t) = 3k + 1, k > 0$. As in characteristic 0 we obtain

$$(x(t), y(t)) \sim_{\mathcal{A}} (t^3, t^{3k+1}) \text{ or}$$

$$(x(t), y(t)) \sim_{\mathcal{A}} (t^3, t^{3k+1} + t^{3l+2} + \sum_{i > 3l+2} a_i t^{3(l+i)+2}), k \leq l < 2k - 1.$$

We can compute the semigroup Γ of $K[[x(t), y(t)]]$ and obtain

$\Gamma = \langle 3, 3k+1 \rangle = \{0, 3, 6, \dots, 3k, 3k+1, \dots, 6k-2, 6k, \dots\}$ with conductor ² $6k$.

Lemma 4. *If $p \nmid 3(l-k) + 1$ then*

$$(t^3, t^{3k+1} + t^{3l+2} + \sum_{i>3l+2} a_i t^{3(l+i)+2}) \sim_{\mathcal{A}} (t^3, t^{3k+1} + t^{3l+2}).$$

Proof. Let s be minimal with $a_s \neq 0$ and consider $\varphi(t) = t + \alpha t^{3s+1}$. We have

$$\begin{aligned} (t + \alpha t^{3s+1})^3 &= t^3 + 3\alpha t^{3s+3} + 3\alpha^2 t^{6s+3} + \alpha^3 t^{9s+3}. \\ (t + \alpha t^{3s+1})^{3k+1} &= t^{3k+1} + \alpha(3k+1)t^{3(k+s)+1} + \alpha^2 \binom{3k+1}{2} t^{3(k+2s)+1} + \dots + \alpha^{3k+1} t^{(3k+1)(3s+1)}. \\ (t + \alpha t^{3s+1})^{3l+2} &= t^{3l+2} + \alpha(3l+2)t^{3l+3s+2} + \dots \end{aligned}$$

Since all exponents of $(t + \alpha t^{3s+1})^3$ are divisible by 3 there exist $H \in K[[x]]$ such that $H((t + \alpha t^{3s+1})^3) = t^3$. Since all exponents of $(t + \alpha t^{3s+1})^{3k+1}$ are congruent to 1 modulo 3 there exist $L \in K[[x, y]]$ such that $L((t + \alpha t^{3s+1})^3, (t + \alpha t^{3s+1})^{3k+1}) = t^{3k+1}$, $L = y - \alpha(3k+1)x^s y + \dots$. We obtain

$$\begin{aligned} L(\varphi(t)^3, \varphi(t)^{3k+1} + \varphi(t)^{3l+2} + \sum a_i \varphi(t)^{3(l+i)+2}) &= \\ t^{3k+1} + t^{3l+2} + (\alpha(3(l-k)+1) + a_s)t^{3(l+s)+2} + \dots \end{aligned}$$

Now choose $\alpha = -\frac{a_s}{3(l-k)+1}$ to obtain

$$(t^3, t^{3k+1} + t^{3l+2} + \sum_{i>3l+2} a_i t^{3(l+i)+2}) \sim_{\mathcal{A}} (t^3, t^{3k+1} + t^{3l+2} + \sum_{i>s} b_i t^{3(l+i)+2}).$$

The lemma follows using induction. \square

Lemma 5. *If $p \mid 3(l-k) + 1$ and $l \leq 2k-3$ then $(t^3, t^{3k+1} + t^{3l+2})$ is not simple.*

Proof. Assume that $\varphi(t) = t + \sum_{i>1} a_i t^i$, $H, L \in \langle x, y \rangle K[[x, y]]$ are given such that $\det(\frac{\partial(H, L)}{\partial(x, y)}(0, 0)) \neq 0$ and

$$\begin{aligned} \varphi(t)^3 &= H(t^3, t^{3k+1} + t^{3l+2} + at^{3l+5}) \\ \varphi(t)^{3k+1} + \varphi(t)^{3l+2} + b\varphi(t)^{3l+5} &= L(t^3, t^{3k+1} + t^{3l+2} + at^{3l+5}). \end{aligned}$$

The first equation implies $a_2 = a_3 = a_5 = \dots = a_{3k-4} = a_{3k-3} = 0$. This implies that $\varphi(t) = t + a_4 t^4 + \dots$ and the first possible term with exponent not congruent 1 mod 3 is t^{3k-1} . This implies that the first possible term with exponent in $\varphi(t)^{2k+1}$ not congruent to 1 mod 3 is t^{6k-1} . Now $\varphi(t)^{3l+2} = t^{3l+2} + a_4(3l+2)t^{3l+5} + \dots$. This implies that the coefficient of t^{3l+5} in $\varphi(t)^{3k+1} + \varphi(t)^{3l+2} + b\varphi(t)^{3l+5}$ is $b + a_4(3l+2)$ and the coefficient of t^{3k+4} is $(3k+1)a_4$. Now consider the coefficient of t^{3l+5} in $L(t^3, t^{3k+1} + t^{3l+2} + at^{3l+5})$. Obviously $L = y + \sum_{v \geq k+1} a_v x^v + \sum_{v \geq 1} b_v x^v y + y^2 L_2$ since $L(t^3, t^{3k+1} + t^{3l+2} + at^{3l+5})$ has order $k+1$. Now

$$\begin{aligned} L(t^3, t^{3k+1} + t^{3l+2} + at^{3l+5}) &= \\ t^{3k+1} + t^{3l+2} + at^{3l+5} + \sum_{v \geq k+1} a_v t^{3v} + \sum_{v \geq 1} b_v t^{3v} (t^{3k+1} + t^{3l+2} + at^{3l+5}) \pmod{t^{6k}}. \end{aligned}$$

This implies that b_1 is the coefficient of t^{3k+4} and $a + b_1$ is the coefficient of t^{3l+5} . Therefore $b_1 = (3k+1)a_4$ and $b + (3l+2)a_4 = a + (3k+1)a_4$. But $3l+2 = 3k+1$ in K implies $a = b$. Now obviously

²The conductor of a semigroup is the minimum of all c in the semigroup such that all integers greater than c are in the semigroup.

$$(t^3, t^{3k+1} + t^{3l+2} + at^{3l+5}) \sim_{\mathcal{A}} (t^3, t^{3k+1} + t^{3l+2} + r^4 at^{3l+5})$$

for $r \in K, r^{3(l-h)+1} = 1$ induced by $t \rightarrow rt$. This implies

$$(t^3, t^{3k+1} + t^{3l+2} + at^{3l+5}) \sim_{\mathcal{A}} (t^3, t^{3k+1} + t^{3l+2} + bt^{3l+5})$$

if and only if $a = r^4 b$ for $r \in K, r^{3(l-k)+1} = 1$, i.e. the parametrization $(t^3, t^{3k+1} + t^{3l+2})$ is not simple. \square

Lemma 6. *If $l > 2k - 3$ then $(t^3, t^{3k+1} + t^{3l+2} + \sum_{i>3l+2} a_i t^i) \sim_{\mathcal{A}} (t^3, t^{3k+1} + t^{3l+2})$.*

Proof. If $p \nmid 3(l-k) + 1$ then the lemma is consequence of lemma 4. If $l = 2k - 1$ the lemma follows from Theorem 1 (2) and the fact that $3l + 3 = 6k$ is the conductor of the semigroup. Assume now that $l = 2k - 2$ and $p \mid 3(l-k) + 1 = 3k - 5$. First of all

$$(t^3, t^{3k+1} + t^{3l+2} + \sum_{i>3l+2} a_i t^i) \sim_{\mathcal{A}} (t^3, t^{3k+1} + t^{6k-4} + a_{6k-1} t^{6k-1})$$

since i is in the semigroup for $i > 3l + 2$ and $i \neq 6k - 1$. Now consider the automorphism $\varphi(t) = t + \alpha t^{3k-1}$. We obtain

$$\begin{aligned} \varphi(t)^3 &= t^3 + 3\alpha t^{3k+1} + 3\alpha^2 t^{6k-1} \text{ mod } (t^{6k}), \\ \varphi(t)^{3k+1} &= t^{3k+1} + \alpha(3k+1)t^{6k-1} \text{ mod } (t^{6k}), \quad \varphi(t)^{3l+2} = t^{3l+2} \text{ mod } (t^{6k}). \end{aligned}$$

This implies

$$\varphi(t^{3k+1} + t^{6k-4} + a_{6k-1} t^{6k-1}) = t^{3k+1} + t^{6k-4} + (\alpha(3k+1) + a) t^{6k-1} \text{ mod } (t^{6k}).$$

Since $p \mid 3k - 5$ and $p > 3$ we have $p \nmid 3k + 1$ and we can choose $\alpha = -\frac{a}{3k+1}$ and obtain

$$(t^3, t^{3k+1} + t^{6k-4} + \sum_{i>6k-4} a_i t^i) \sim_{\mathcal{A}} (t^3 + 3\alpha t^{3k+1} + 3\alpha^2 t^{6k-1}, t^{3k+1} + t^{6k-4}) \sim_{\mathcal{A}} (t^3 - 3\alpha t^{6k-4} + 3\alpha^2 t^{6k-1}, t^{3k+1} + t^{6k-4}).$$

Now consider the map $\psi(t) = t + t^{6k-6} + \alpha t^{6k-3}$. We obtain

$$\psi(t^3 - 3\alpha t^{6k-4} + 3\alpha^2 t^{6k-1}) = t^3 \text{ mod } (t^{6k}), \quad \psi(t^{3k+1} + t^{6k-4}) = t^{3k+1} + t^{6k-4} \text{ mod } (t^{6k}).$$

This proves the lemma. \square

Remark 2. *Using same arguments as in proof of lemma 6 we can prove that $(t^3, t^{3k+1} + at^{6k-1}) \sim_{\mathcal{A}} (t^3, t^{3k+1})$ if $p \nmid 3k + 1$.*

Corollary 2. *The parametrizations $(t^3, t^{p+9} + t^{2p+9})$ resp. $(t^3, t^{2p+9} + t^{4p+9})$ are not simple if $p \equiv 1 \pmod{3}$ resp. $p \equiv 2 \pmod{3}$.*

Proof. In the first case $k = \frac{p+8}{3}$ and $l = \frac{2p+7}{3} = 2k - 3$ and $3(\frac{2p+7}{3} - \frac{p+8}{3}) + 1 = p$, the results follows from lemma 5. Similarly in the second case $k = \frac{2p+8}{3}, l = \frac{4p+7}{3}$ and $3(l-k) + 1 = 2p$. \square

Corollary 3.

- (1) *For $p \equiv 1 \pmod{3}$ the parametrizations $(t^3, t^{3k+1} + \sum_{i>3k+1} a_i t^i)$ are simple if and only if $k \leq \frac{p+5}{3}$.*
- (2) *For $p \equiv 2 \pmod{3}$ the parametrizations $(t^3, t^{3k+1} + \sum_{i>3k+1} a_i t^i)$ are simple if and only if $k \leq \frac{2p+5}{3}$.*

Proof. We will proof the first case, the second case is similar. If $3k - 8 \geq p$ then $(t^3, t^{p+9} + t^{2p+9})$ is in a deformation of $(x(t), y(t))$ and therefore not simple. If $3k - 8 < p$ then $p \nmid 3(l - h) + 1$ for all $l, k \leq l < 2k - 2$. The corollary is a consequence of lemma 6. \square

Similarly we can treat the case of the semigroup $\langle 3, 3k + 2 \rangle$. The conductor of the semigroup is $6k + 2$. We obtain the following lemmas.

Lemma 7. *Let $1 \leq k < l \leq 2k - 1$. If $p \nmid 3(l - k) - 1$ then $(t^3, t^{3k+2} + t^{3l+1} + \sum a_i t^{3(l+i)+1}) \sim_{\mathcal{A}} (t^3, t^{3k+2} + t^{3l+1})$.*

Lemma 8. *If $p \mid 3(l - k) - 1$ and $l \leq 2k - 2$ then $(t^3, t^{3k+2} + t^{3l+1})$ is not simple.*

Corollary 4. *The parametrizations $(t^3, t^{p+9} + t^{2p+9})$ resp. $(t^3, t^{2p+9} + t^{4p+9})$ are not simple if $p \equiv 2 \pmod{3}$ resp. $p \equiv 1 \pmod{3}$.*

Corollary 5.

- (1) *For $p \equiv 1 \pmod{3}$ the parametrizations $(t^3, t^{3k+2} + \sum_{i>3k+2} a_i t^i)$ are simple if and only if $k \leq \frac{2p+4}{3}$.*
- (2) *For $p \equiv 2 \pmod{3}$ the parametrizations $(t^3, t^{3k+2} + \sum_{i>3k+2} a_i t^i)$ are simple if and only if $k \leq \frac{p+4}{3}$.*

4. PARAMETRIZATIONS WITH SEMIGROUP $\langle 4, 6, 2k + 7 \rangle$

In this section we assume that the characteristic $p \geq 3$. Let $(x(t), y(t))$ be a parametrization such that

$$\dim_K K[[t]]/K[[x(t), y(t)]] < \infty \text{ and } \text{ord}_t x(t) = 4, \text{ord}_t y(t) = 6.$$

Assume that the semigroup $\Gamma = \langle 4, 6, 2k + 7 \rangle$.

The aim of this section is to find depending on the characteristic a minimal k_0 such that $(t^4, t^6 + t^{2k+1} + \sum_{i \geq 2k+3} a_i t^i)$ is not simple for all $k \geq k_0$.

Lemma 9. *If $p \nmid 2k + 7$ then $(x(t), y(t)) \sim_{\mathcal{A}} (t^4, t^6 + t^{2k+1})$.*

Proof. First of all it is not difficult to see that

$$(x(t), y(t)) \sim_{\mathcal{A}} (t^4, t^6 + t^{2k+1} + \sum_{i \geq 2k+3} a_i t^i).$$

Since $2k+10$ is the conductor of Γ , the even integers ≥ 4 are in Γ and $2k+9+4-6 = 2k+7 \in \Gamma$, we obtain using Theorem 1 (2)

$$(x(t), y(t)) \sim_{\mathcal{A}} (t^4, t^6 + t^{2k+1} + a_{2k+3} t^{2k+3} + a_{2k+5} t^{2k+5}).$$

Consider the map $\varphi(t) = t + \alpha(t^3 + t^{2k-2})$. we obtain

$$\begin{aligned} \varphi(t)^4 &= t^4 + 4\alpha(t^6 + t^{2k+1} + a_{2k+3} t^{2k+3}) + 6\alpha^2(t^8 + 2t^{2k+3}) + 4\alpha^3 t^{10} + \alpha^4 t^{12} \pmod{t^{2k+4}} \\ \varphi(t)^6 &= t^6 + 6\alpha(t^8 + t^{2k+3}) + 15\alpha^2 t^{10} + 20\alpha^3 t^{12} + 15\alpha^4 t^{14} + 6\alpha^5 t^{16} + \alpha^6 t^{18} \pmod{t^{2k+4}} \\ \varphi(t)^{2k+1} &= t^{2k+1} + \alpha(2k+1)t^{2k+3} \pmod{t^{2k+4}}. \end{aligned}$$

This implies that

$$(t^4, t^6 + t^{2k+1} + a_{2k+3}t^{2k+3} + a_{2k+5}t^{2k+5}) \sim_{\mathcal{A}} (t^4, t^6 + t^{2k+1} + (\alpha(2k+7) + a_{2k+3})t^{2k+3} + t^{2k+4}b), b \in K[[t]].$$

Since $p \nmid 2k+7$ we can choose $\alpha = -\frac{a_{2k+3}}{2k+7}$ and obtain

$$(t^4, t^6 + t^{2k+1} + a_{2k+3}t^{2k+3} + a_{2k+5}t^{2k+5}) \sim_{\mathcal{A}} (t^4, t^6 + t^{2k+1} + \bar{a}_{2k+5}t^{2k+5}).$$

Now we consider the map $\psi(t) = t + \beta t^5$ and obtain similarly

$$(t^4, t^6 + t^{2k+1} + \bar{a}_{2k+5}t^{2k+5}) \sim_{\mathcal{A}} (t^4, t^6 + t^{2k+1} + (\beta(2k+7) + \bar{a}_{2k+5})t^{2k+5} + t^{2k+6}c), c \in K[[t]].$$

Again we choose $\beta = -\frac{\bar{a}_{2k+5}}{2k+7}$ and obtain $(x(t), y(t)) \sim_{\mathcal{A}} (t^4, t^6 + t^{2k+1})$. \square

Lemma 10. *If $p \mid 2k+7$ and $(t^4, t^6 + t^{2k+1} + \sum_{i>2k+1} a_i t^i) \sim_{\mathcal{A}} (t^4, t^6 + t^{2k+1} + \sum_{i>2k+1} b_i t^i)$, then $a_{2k+3} = b_{2k+3}$. Especially $(t^4, t^6 + t^{2k+1})$ is not simple.*

Proof. We may assume that $a_{2k+2} = b_{2k+2} = 0$. Let $\varphi(t) = t + \sum_{i \geq 2} c_i t^i \in K[[t]]$ and $H, L \in \langle x, y \rangle K[[x, y]]$, $H = \sum_{i,j} H_{ij} x^i y^j$ and $L = \sum_{i,j} L_{ij} x^i y^j$ such that

$$(i) \varphi(t)^4 = H(t^4, t^6 + t^{2k+1} + \sum_{i \geq 2k+3} a_i t^i).$$

$$(ii) \varphi(t)^6 + \varphi(t)^{2k+1} + \sum_{i \geq 2k+3} b_i \varphi(t)^i = L(t^4, t^6 + t^{2k+1} + \sum_{i \geq 2k+3} a_i t^i).$$

We obtain from (i) $H_{10} = 1$, $H_{01} = 4c_3$ and $c_2 = 0$. (ii) implies that $L_{10} = 0$, $L_{01} = 1$ and $L_{20} = 6c_3$. Moreover we obtain $c_i = 0$ for all even $i \leq 2k-3$. This implies that

$$\varphi(t)^6 + \varphi(t)^{2k+1} + \sum_{i \geq 2k+3} b_i \varphi(t)^i = t^6 + \dots + ((2k+1)c_3 + 6c_{2k-2} + b_{2k+3})t^{2k+3} + \dots$$

$$\text{and } L(t^4, t^6 + t^{2k+1} + \sum_{i \geq 2k+3} a_i t^i) = t^6 + \dots + a_{2k+3}t^{2k+3} + \dots$$

We obtain $a_{2k+3} = b_{2k+3} + (2k+1)c_3 + 6c_{2k-2}$. Now we use again (i) looking at the coefficients of t^{2k+1} to see that $4c_{2k-2} = H_{01}$. This implies that $a_{2k+3} = b_{2k+3} + (2k+7)c_3 = b_{2k+3}$ since the characteristic $p \mid 2k+7$. \square

The following corollary gives the minimal value of k such that $(t^4, t^6 + t^{2k+1})$ is not simple.

Corollary 6. *The minimal k_0 such that $(t^4, t^6 + t^{2k+1} + \sum_{i \geq 2k+3} a_i t^i)$ is not simple for $k \geq k_0$ depends as follows on the characteristic p .*

- (1) If $p = 3$ or $p = 5$ then $k_0 = 4$.
- (2) If $p = 7$ then $k_0 = 7$.
- (3) If $p = 11$ then $k_0 = 13$.
- (4) If $p \geq 13$ then $k_0 = \frac{p-7}{2}$.

Proof. According to lemma 10 we have to find the minimal $k \geq 3$ such that $p \mid 2k+7$. Obviously k is minimal if $p = 2k+7$. This is possible for $p \geq 13$. The remaining cases can be checked easily. \square

5. CHARACTERISTIC 2

In this section we assume that the characteristic of K is $p = 2$. We will prove that parametrizations with $n \geq 4$ or $n = 3$ and $m \geq 8$ are not simple and give normal forms for the remaining cases.

Lemma 11. *Let $x(t), y(t) \in K[[t]]$ such that $\dim_K K[[t]]/K[[x(t), y(t)]] < \infty$. Assume $\text{ord}_t x(t) = 2$, $\text{ord}_t y(t) = m$, $m > 2$ odd. Then $(x(t), y(t)) \sim_{\mathcal{A}} (t^2, t^m)$ or there exist k , $2 < k < m$ and k odd such that $(x(t), y(t)) \sim_{\mathcal{A}} (t^2 + t^k, t^m)$.*

Proof. If $x(t) \in K[[t^2]]$, we obtain $(x(t), y(t)) \sim_{\mathcal{A}} (t^2, \bar{y}(t))$ and $\text{ord}_t \bar{y}(t) = m$. Then obviously $(x(t), y(t)) \sim_{\mathcal{A}} (t^2, t^m)$. We may assume now that $x(t) = t^2 + \sum_{i>2} a_i t^i$ and k odd and minimal such that $a_k \neq 0$. If $k \geq m$, we obtain $(x(t), y(t)) \sim_{\mathcal{A}} (t^2, t^m)$. If $k < m$, we obtain $(x(t), y(t)) \sim_{\mathcal{A}} (t^2 + \sum_{i \text{ even}} a_i t^i + t^k, t^m)$. Now there is a power series $H(x)$ such that $H(t^2 + \sum_{i \text{ even}} a_i t^i + t^k) = t^2 + t^k$ and we obtain $(x(t), y(t)) \sim_{\mathcal{A}} (t^2 + t^k, t^m)$. \square

Corollary 7. *Parametrization $(x(t), y(t))$ with $\text{ord}_t x(t) = 2$ and semigroup $\langle 2, m \rangle$, m odd, are simple with normal form (t^2, t^m) or $(t^2 + t^k, t^m)$, $3 \leq k < m$, k odd.*

Lemma 12. *Parametrizations with semigroup $\langle 3, 8 \rangle$ or semigroup $\langle 4, 5 \rangle$ are not simple.*

Proof. We have to prove that

- (1) $(t^3, t^8 + t^{10} + \sum_{i \geq 11} a_i t^i) \sim_{\mathcal{A}} (t^3, t^8 + t^{10} + \sum_{i \geq 11} b_i t^i)$ implies $a_{11} + a_{13} = b_{11} + b_{13}$
- (2) $(t^4, t^6 + \sum_{i \geq 7} a_i t^i) \sim_{\mathcal{A}} (t^4, t^6 + \sum_{i \geq 7} b_i t^i)$ implies $a_7 = b_7$.

This can be proved similarly to the corresponding cases before. \square

As an example we show how (2) in lemma 12 could also be checked by a computer. Consider the following SINGULAR code (cf. [5], [9]):

```
ring R=(2,a,b,c,d,e,f,g,H1,H2,H3,H4,H5,H6,H7,H8,H9,L1,L2,L3,
      L4,L5,L6,L7,L8,L9,u,v),(x,y,t),ds;
poly p=t+a*t2+b*t3+c*t4+d*t5+e*t6;
poly H=H1*x+H2*y+H3*x2+H4*xy+H5*y2+H6*x3+H7*x2y+H8*xy2+H9*x4;
poly L=L1*x+L2*y+L3*x2+L4*xy+L5*y2+L6*x3+L7*x2y+L8*xy2+L9*x4;

jet(p^4+p^6+v*p^7-subst(H,x,t4+t6+u*t7,y,t5),7);

(H1+1)*t^4+(H2)*t^5+(H1+1)*t^6+(H1*u+v)*t^7
```

This implies $H1 = -1$ and $u = v$.

Lemma 13. *Parametrizations with semigroup $\langle 3, 4 \rangle$, $\langle 3, 5 \rangle$ or $\langle 3, 7 \rangle$ have normal forms (t^3, t^4) , $(t^3, t^4 + t^5)$, (t^3, t^5) , (t^3, t^7) or $(t^3, t^7 + t^8)$.*

Proof. The proof is similar to the corresponding proof in section 3. \square

Corollary 8. *Parametrization $(x(t), y(t))$ with semigroup $\langle 3, 4 \rangle$, $\langle 3, 5 \rangle$ or $\langle 3, 7 \rangle$ are simple.*

6. PROOF OF THE CLASSIFICATION

In this section we will prove Theorem 3.

Lemma 14. *Let $(x(t), y(t))$ be a parametrization with semigroup Γ generated by at most 3 elements. Then the semigroups of parametrizations in a deformation of $(x(t), y(t))$ are smaller or equal to Γ .*

Proof. Let $x(t) = \sum_{i \geq n} a_i t^i$ and $y(t) = \sum_{i \geq m} b_i t^i$, $a_n = b_m = 1$. Then $n = \text{ord}_t x(t)$ and $m = \text{ord}_t y(t)$ are the first two elements³ in the ordered set of minimal generators of Γ . If n and m are coprime then $\Gamma = \langle n, m \rangle$. If $c := \text{gcd}(n, m) > 1$ then Γ is generated by three elements. To find third generator we have to compute a minimal sagbi basis of $K[[x(t), y(t)]]$. This sagbi basis has three elements, $x(t)$, $y(t)$, $w(t)$ and $\Gamma = \langle n, m, r \rangle$ with $r = \text{ord}_t w(t)$. According to the algorithm to compute sagbi bases (cf. [10]) we obtain $w(t)$ as the final reduction⁴ of $x(t)^{\frac{m}{c}} - y(t)^{\frac{n}{c}}$ with respect to $x(t)$ and $y(t)$. Let $w(t) = \sum_{i \geq r} c_i t^i$, $c_r \neq 0$. The coefficients $c_i = c_i(a, b)$ are polynomials in the coefficients $a = \{a_i\}$ and $b = \{b_j\}$.

Let $(X(z, t), Y(z, t))$ be a deformation of $(x(t), y(t))$, i.e. $X(z, t) = \sum_{i \geq \bar{n}} A_i t^i$, $Y(z, t) = \sum_{i \geq \bar{m}} B_i t^i \in C[[t]]$ with $C = K[z]/J$ an affine K -algebra, $z = (z_1, \dots, z_s)$, such that $X(0, t) = x(t)$ and $Y(0, t) = y(t)$. Let $U \subset V(J) \subset K^s$ be defined⁵ by $A_n \neq 0$, $A_{\bar{n}} \neq 0$, $B_m \neq 0$, $B_{\bar{m}} \neq 0$. Since $A_n(0) = 1$ and $B_m(0) = 1$ we have $\bar{n} \leq n$ and $\bar{m} \leq m$. If one of the inequalities is strict choose $a \in U$ and consider the parametrization $(X(a, t), Y(a, t))$ with semigroup $\bar{\Gamma}$. The semigroup $\bar{\Gamma}$ of the deformation is smaller than Γ , the semigroup of $(x(t), y(t))$. We may assume now that $\bar{n} = n$ and $\bar{m} = m$. If n and m are coprime we are done since $\Gamma = \langle n, m \rangle = \bar{\Gamma}$. If n and m are not coprime we have to find as above a sagbi basis to obtain generators of $\bar{\Gamma}$. As above we can compute the next element $W(z, t)$ in the sagbi basis by the normal form of $B_m^{\frac{n}{c}} X(z, t)^{\frac{m}{c}} - A_n^{\frac{m}{c}} Y(z, t)^{\frac{n}{c}}$ with respect to $X(z, t)$ and $Y(z, t)$. This can be done simultaneously over the localization of C by $A_n B_m$. Let $W(z, t) = \sum_{i \geq s} C_i t^i$, $C_s \neq 0$. We define U by $A_n \neq 0$, $B_m \neq 0$ and $C_s \neq 0$. Choose $a \in U$ and consider the parametrization $(X(a, t), Y(a, t))$ with semigroup $\bar{\Gamma}$. The semigroup $\bar{\Gamma}$ of the deformation will be smaller than Γ since $W(0, t)$ is either the normal form of $x(t)^{\frac{m}{c}} - y(t)^{\frac{n}{c}}$ with respect to $x(t)$ and $y(t)$ or an element occurring during the normal form computation. This implies that $s = \text{ord}_t W(a, t) \leq r$ and proves the lemma. \square

Proof. (of Theorem 3)

Let us first consider the case of characteristic 2. Lemma 12 implies that the candidates for simple parametrizations must have semigroups smaller than $\langle 3, 8 \rangle$ and

³We assume as always that $n < m$ and $n \nmid m$.

⁴also called sagbi normal form

⁵According to the definition of a deformation we are allowed to choose U with the property $0 \in U$ as small as we need.

$\langle 4, 5 \rangle$. Normal forms for these candidates are given in Corollary 7 and Lemma 13. Lemma 14 implies that those parametrizations are simple.

Now assume that the characteristic $p \geq 3$. Lemma 1 implies that the candidates for simple parametrization must have semigroups smaller than $\langle 4, 9 \rangle$ and $\langle 5, 6 \rangle$. In case of semigroup $\langle 2, 2k + 1 \rangle$ we may assume (Theorem 1 (1)) that the parametrization is of the form $(t^2, y(t))$ and obtain the normal form of the parametrization (t^2, t^{2k+1}) similarly to Lemma 11. The cases of the semigroups $\langle 3, 3k + 1 \rangle$ resp. $\langle 3, 3k + 2 \rangle$ are consequences of Corollary 3 resp. Corollary 5 in case of characteristic $p \geq 5$. The case of characteristic $p = 3$ is a consequence of Lemma 2. The case of the semigroup $\langle 4, 6, 2k + 7 \rangle$ is a consequence of Corollary 6. The semigroups $\langle 4, 5 \rangle$ resp. $\langle 4, 7 \rangle$ behave in characteristic $p \neq 5$ resp. $p \neq 7$ as in characteristic zero. The case of characteristic $p = 7$ is a consequence of Lemma 3. In case of characteristic $p = 5$ we obtain as expected an additional case. \square

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KHAWAR MEHMOOD, ABDUS SALAM SCHOOL OF MATHEMATICAL SCIENCES, GC UNIVERSITY,
LAHORE 54600, PAKISTAN

E-mail address: khawar1073@gmail.com

GERHARD PFISTER, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF KAISERSLAUTERN,
ERWIN-SCHRÖDINGER-STR., 67663 KAISERSLAUTERN, GERMANY

E-mail address: pfister@mathematik.uni-kl.de