

# SIMPLE SINGULARITIES OF PARAMETRIZED SPACE CURVES IN POSITIVE CHARACTERISTIC

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ABSTRACT. Let  $\mathbb{K}$  be an algebraically closed field of characteristic  $p > 0$ . The aim of the article is to give a classification of simple parametrized space curve singularities over  $\mathbb{K}$ . The idea is to give explicitly a class of families of singularities which are not simple such that almost all singularities deform to one of those and show that remaining singularities are simple.

*Key words* : parametrized space curves, simple singularities, characteristic  $p$ .  
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## 1. INTRODUCTION

The study and classification of singularities have a long history. Very important contributions go back to Zariski [21] and Arnold [2]. Most of the results were obtained over the complex numbers. Greuel and his students started a classification for hypersurface singularities in characteristic  $p > 0$  ([3],[9],[10]). Bruce and Gaffney [5] classified the simple<sup>1</sup> parameterized plane curve singularities over the complex numbers. Parametrization of space curve singularities were studied by Gibson and Hobbs in characteristic zero [8]. We recall their classification in Theorem 7. Their way of proving the ideas cannot be adapted to positive characteristics. The reason is that in characteristic zero heavily the results of Mather [16] are used. Mather uses so called complete transversals in the orbit space (of the group associated to  $\mathcal{A}$ -equivalence). There is no such theory for positive characteristic.

Mehmood and the second author [17] classified the simple plane curve parametrizations in characteristic  $p$ . The aim of this paper is to give the classification of parametrized space curve singularities in characteristic  $p > 0$ . The classification is given in Theorem 11. The classification depends very much on the characteristic. We found parametrizations which do not occur in characteristic 0. On the other hand not all simple parametrizations from characteristic 0 are simple in characteristic  $p > 0$ . To give an example, the parametrizations  $(t^4, t^5, 0)$  and  $(t^4, t^5 + t^6, 0)$  are  $\mathcal{A}$ -equivalent in characteristic  $p \neq 5$  but not in characteristic 5. The parametrization  $(t^4, t^6 + t^k, 0)$  is simple in characteristic 0 for all  $k$  but not simple in characteristic 17 if  $k > 9$ . In characteristic 0 the following holds. Let  $(x(t), y(t))$  define a plane curve and consider the space curve  $(x(t), y(t), z(t))$ . Then  $(x(t), y(t), z(t))$  being simple implies  $(x(t), y(t))$  is simple<sup>2</sup>. This is not true in positive characteristic. In

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<sup>1</sup>See Definition 4.

<sup>2</sup>The other implication is always true by definition.

characteristic 2 the curve  $(t^3, t^{10}, 0)$  is not simple, but the curve  $(t^3, t^{10}, t^{11})$  is simple (cf. Theorem 11).

Let  $\mathbb{K}$  be an algebraically closed field of characteristic  $p > 0$ . This field is fixed now during this paper. A parametrized space curve singularity is given by a map  $f : \mathbb{K}[[x, y, z]] \rightarrow \mathbb{K}[[t]]$ . If  $f(x) = x(t)$ ,  $f(y) = y(t)$  and  $f(z) = z(t)$  then we write shortly  $f = (x(t), y(t), z(t))$ . The image of  $f$  is the subalgebra  $\mathbb{K}[[x(t), y(t), z(t)]] \subseteq \mathbb{K}[[t]]$  and we will always assume that

$$\dim_{\mathbb{K}} \mathbb{K}[[t]] / \mathbb{K}[[x(t), y(t), z(t)]] < \infty.$$

The finiteness condition implies that there exist a minimal  $c$  such that the ideal, called the conductor ideal,  $t^c \mathbb{K}[[t]] \subseteq \mathbb{K}[[x(t), y(t), z(t)]]$ . Two parametrized space curve singularities  $f = (x(t), y(t), z(t))$  and  $g = (\hat{x}(t), \hat{y}(t), \hat{z}(t))$  are called  $\mathcal{A}$ -equivalent,  $f \sim g$ , if there exist automorphisms,

$$\begin{aligned} \psi &: \mathbb{K}[[t]] \rightarrow \mathbb{K}[[t]] \\ \varphi &: \mathbb{K}[[x, y, z]] \rightarrow \mathbb{K}[[x, y, z]] \end{aligned}$$

such that the following diagram commutes:

$$\begin{array}{ccc} K[[x, y, z]] & \xrightarrow{f} & K[[x(t), y(t), z(t)]] \subseteq K[[t]] \\ \varphi \downarrow & & \downarrow \psi \\ K[[x, y, z]] & \xrightarrow{g} & K[[\hat{x}(t), \hat{y}(t), \hat{z}(t)]] \subseteq K[[t]] \end{array}$$

i.e.

$$(x(\psi(t)), y(\psi(t)), z(\psi(t))) = (\varphi_1(\hat{x}(t), \hat{y}(t), \hat{z}(t)), \varphi_2(\hat{x}(t), \hat{y}(t), \hat{z}(t)), \varphi_3(\hat{x}(t), \hat{y}(t), \hat{z}(t))).$$

**Definition 1.** Given a parametrization  $f = (x(t), y(t), z(t))$ , we define the semigroup as

$$\Gamma = \Gamma_f = \{\text{ord}_t(h) \mid h \in \mathbb{K}[[x(t), y(t), z(t)]]\}.$$

If  $t^c \mathbb{K}[[t]]$  is the conductor ideal then  $c-1 \notin \Gamma$  and  $l \in \Gamma$  if  $l \geq c$ . The integer  $c = c(\Gamma)$  is called conductor of  $\Gamma$ . The cardinality of the set  $\mathbb{Z}_{\geq 0} \setminus \Gamma$  is called  $\delta = \delta(\Gamma)$ . The semigroup  $\Gamma$  has a unique minimal system of generators  $\{\beta_1, \dots, \beta_k\}$  and we write  $\Gamma = \langle \beta_1, \dots, \beta_k \rangle$ . We will always assume that the minimal generators of a semigroup are given in an increasing way.

**Remark 2.** Given a parametrization  $f = (x(t), y(t), z(t))$ , let  $\{w_1(t), \dots, w_k(t)\}$  be a minimal sagbi basis<sup>3</sup> of  $\mathbb{K}[[x(t), y(t), z(t)]]$  such that  $\beta_1 = \text{ord}_t(w_1(t)) < \dots < \beta_k = \text{ord}_t(w_k(t))$  then  $\{\beta_1, \dots, \beta_k\}$  is the unique minimal system of generators of  $\Gamma$ .

**Definition 3.** Let  $f = (x(t), y(t), z(t)) \in t\mathbb{K}[[t]]^3$  define a parametrized space curve singularity. A deformation<sup>4</sup> of  $f$  is a pair  $(F, \mathfrak{m})$ ,  $F \in tA[[t]]^3$  and  $\mathfrak{m} \subseteq A =$

<sup>3</sup>For a definition cf. [13], [12].

<sup>4</sup>A more precise notion for this construction would be a pointed family since in case of a deformation  $\mathcal{A}$  should be local with maximal ideal  $\mathfrak{m}$ . In case of  $\mathbb{K}$  being the complex numbers  $\mathcal{A}$  would be a convergent power series ring and we could define  $F(q, t)$  for  $q$  closed to 0. To have the

$\mathbb{K}[x_1, \dots, x_n]/I$  a maximal ideal, such that  $F \bmod \mathfrak{m}A[[t]]^3 = f$ . Since the field  $\mathbb{K}$  is algebraically closed a point  $p \in V(I) \subseteq \mathbb{K}^n$  correspond to a maximal ideal  $\mathfrak{m}_p \subseteq A$  and we will write  $F(p, t) \in \mathbb{K}[[t]]^3$  for  $F \bmod \mathfrak{m}_pA[[t]]^3$ . We will denote the point corresponding to  $\mathfrak{m}$  by  $o$ .

**Definition 4.** Let  $f = (x(t), y(t), z(t)) \in t\mathbb{K}[[t]]^3$  define a parametrized space curve singularity.  $f$  is called simple if for any deformation  $(F, \mathfrak{m})$  of  $f$ ,  $F \in tA[[t]]^3$ ,  $A = \mathbb{K}[x_1, \dots, x_n]/I$ , there exist a Zariski open subset  $U$  of  $V(I) \subset \mathbb{K}^n$  containing  $o$  such that the set  $\{F(p, t) \in \mathbb{K}[[t]]^3 | p \in U\}$  contains only finitely many  $\mathcal{A}$ -equivalent classes.

**Remark 5.** Given a deformation  $(F, \mathfrak{m})$  of  $f = (x(t), y(t), z(t)) \in \mathbb{K}[[t]]^3$ ,  $F = (X(u, t), Y(u, t), Z(u, t)) \in A[[t]]^3$  we will always choose the open set  $U \subset \text{Spec}(A)$  such that all monomials of  $f$  occur in  $F$ , i.e. we do not allow cancellation in the family. Especially we have  $\text{ord}_t(x(t)) \geq \text{ord}_t(X(u, t))$ ,  $\text{ord}_t(y(t)) \geq \text{ord}_t(Y(u, t))$  and  $\text{ord}_t(z(t)) \geq \text{ord}_t(Z(u, t))$ .

**Remark 6.** Given parametrizations  $(x(t), y(t), z(t))$ ,  $(\hat{x}(t), \hat{y}(t), \hat{z}(t))$  and assume that  $(x(t), y(t), z(t))$  is not simple, if  $(x(t), y(t), z(t))$  is  $\mathcal{A}$ -equivalent to a parametrization in a deformation of  $(\hat{x}(t), \hat{y}(t), \hat{z}(t))$  then  $(\hat{x}(t), \hat{y}(t), \hat{z}(t))$  is not simple.

The classification is based on the following idea:

- (1) Find special classes of non-simple parametrizations such that all non-simple parametrizations have one of them represented in a suitable deformation. Especially parametrizations with  $\Gamma = \langle 5, 6, 7 \rangle$  or  $\Gamma = \langle 4, 9, 10 \rangle$  are not simple.
- (2) Find normal forms depending on the semigroup for the remaining cases.
- (3) The candidates for simple parametrizations have semigroups generated by at most 4 elements. These semigroups behave semicontinuously in a deformation.

We now recall the results of the classification of Gibson and Hobbs in characteristic zero [8].

**Theorem 7.** Let  $\mathbb{L}$  be an algebraically closed field of characteristic 0. Let  $f \in t\mathbb{L}[[t]]^3$  be a simple parametrized space curve singularity, then  $f$  is  $\mathcal{A}$ -equivalent to a parametrized space curve singularity in the following table:

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same possibility in characteristic  $p$  we consider this type of families. Following the tradition in characteristic 0 we keep calling them also deformations.

Characteristic $p = 0$	
$\Gamma$	Normal Form
$\langle 1 \rangle$	$(t, 0, 0)$
$\langle 2, k \rangle$	$(t^2, t^k, 0)$ , $k > 2$ odd
$\langle 3, k, r \rangle$ $k \cdot r \equiv 2 \pmod{3}$ or $r = \infty$	$(t^3, t^k + t^l, t^r)$ $l = \infty$ or $k < l \leq 2k - 6$ and $k \cdot l \equiv 2 \pmod{3}$ $r = \infty$ or $k < r < 2k - 2$
$\langle 4, 5, 6 \rangle$	$(t^4, t^5, t^6)$
$\langle 4, 5, 7 \rangle$	$(t^4, t^5, t^7)$
$\langle 4, 5, 11 \rangle$	$(t^4, t^5, t^{11})$ $(t^4, t^5 + t^7, t^{11})$
$\langle 4, 5 \rangle$	$(t^4, t^5, 0)$ $(t^4, t^5 + t^7, 0)$
$\langle 4, 6, k + 6, r \rangle$ $r \in \{k - 2, k + 2, k + 4, k + 8, \infty\}$ $k \geq 7$ odd	$(t^4, t^6 + t^k, t^{k-2})$ , $k \geq 9$ $(t^4, t^6 + t^k, t^{k+2})$ $(t^4, t^6 + t^k, t^{k+8})$ $(t^4, t^6 + t^k, t^{k+4})$ $(t^4, t^6 + t^k, 0)$
$\langle 4, 6, k \rangle$	$(t^4, t^6, t^k)$ , $k \geq 7$ , $k$ odd
$\langle 4, 7, 9 \rangle$	$(t^4, t^7, t^9)$ , $(t^4, t^7, t^9 + t^{10})$ ,
$\langle 4, 7, 10 \rangle$	$(t^4, t^7, t^{10})$ , $(t^4, t^7 + t^9, t^{10})$ ,
$\langle 4, 7, 13 \rangle$	$(t^4, t^7, t^{13})$ , $(t^4, t^7 + t^9, t^{13})$ ,
$\langle 4, 7, 17 \rangle$	$(t^4, t^7, t^{17})$ , $(t^4, t^7 + t^9, t^{17})$ , $(t^4, t^7 + t^{13}, t^{17})$ ,
$\langle 4, 7 \rangle$	$(t^4, t^7, 0)$ , $(t^4, t^7 + t^9, 0)$ , $(t^4, t^7 + t^{13}, 0)$ ,

An important basis for the classification is the following theorem of Zariski [21] generalized to space curves. The theorem leads to a weak normal form of the parametrization.

**Theorem 8.** *Given a parametrization*

$$(t^l + \sum_{i>l} a_i t^i, t^m + \sum_{i>m} b_i t^i, t^n + \sum_{i>n} c_i t^i)$$

*$l < m < n$ ,  $l \nmid m$  and  $n \notin \langle l, m \rangle$  if  $n < \infty$ , with semigroup  $\Gamma$  and conductor  $c$ . Let  $k \in \Gamma$  then there exists an  $\mathcal{A}$ -equivalent parametrization*

$$(t^l + \sum_{i>l} \hat{a}_i t^i, t^m + \sum_{i>m} \hat{b}_i t^i, t^n + \sum_{i>n} \hat{c}_i t^i)$$

*with  $a_i = \hat{a}_i, b_i = \hat{b}_i, c_i = \hat{c}_i$  if  $i < k$  and  $\hat{a}_k = \hat{b}_k = \hat{c}_k = 0$ ,  $\hat{a}_s = \hat{b}_s = \hat{c}_s = 0$ , for all  $s \geq c$ .*

**Corollary 9.** *Given a parametrization*

$$(x(t), y(t), z(t)) \text{ with semigroup } \Gamma,$$

*there exists an  $\mathcal{A}$ -equivalent parametrization of the form*

$$(t^l + \sum_{i>l, i \notin \Gamma} a_i t^i, t^m + \sum_{i>m, i \notin \Gamma} b_i t^i, t^n + \sum_{i>n, i \notin \Gamma} c_i t^i),$$

*$l < m < n$  ( $n = \infty$  included),  $l \nmid m$  and  $n \notin \langle l, m \rangle$  if  $n < \infty$ .*

**Definition 10.** *Let  $(x(t), y(t), z(t))$  be a parametrization. A parametrization with the properties of Corollary 9 is called a weak normal form of  $(x(t), y(t), z(t))$ .*

The main result of this paper is the following theorem:

**Theorem 11.** *Let  $(x(t), y(t), z(t))$  be a parametrization of a simple space curve then it is  $\mathcal{A}$ -equivalent to one parametrization in the following table:*

Characteristic $p > 2$	
$\Gamma$	Normal Form
$\langle 1 \rangle$	$(t, 0, 0)$
$\langle 2, k \rangle$	$(t^2, t^k, 0), k > 2$ odd
$\langle 3, k, r \rangle, p \neq 3$ $k \cdot r \equiv 2 \pmod{3}$ or $r = \infty$	$(t^3, t^k + t^l, t^r)$ $l = \infty$ or $k < l \leq 2k - 6$ and $k \cdot l \equiv 2 \pmod{3}$ $r = \infty$ or $k < r < 2k - 2$ $k < p + 9$ or $2p + 9 > k \geq p + 9$ and $l < k + p$ or $r \leq k + p$ .
$\langle 3, k, r \rangle, p = 3$	$(t^3, t^5, 0)$ $(t^3 + t^4, t^5, 0)$ $(t^3, t^5, t^7)$ $(t^3 + t^4, t^5, t^7)$ $(t^3, t^7, t^8)$ $(t^3 + t^4, t^7, t^8)$ $(t^3 + t^5, t^7, t^8)$
$\langle 4, 5 \rangle$	$(t^4, t^5, 0)$ $(t^4, t^5 + t^7, 0)$ and additionally if $p = 5$ $(t^4, t^5 + t^6, 0)$
$\langle 4, 5, 6 \rangle$	$(t^4, t^5, t^6)$ and additionally if $p = 3$ $(t^4, t^5, t^6 + t^7)$
$\langle 4, 5, 7 \rangle$	$(t^4, t^5, t^7)$ and additionally if $p = 5$ $(t^4, t^5 + t^6, t^7)$
$\langle 4, 5, 11 \rangle$	$(t^4, t^5, t^{11})$ $(t^4, t^5 + t^7, t^{11})$ and additionally if $p = 5$ , $(t^4, t^5 + t^6, t^{11})$
$\langle 4, 6, k + 6, r \rangle$ $r \in \{k - 2, k + 2, k + 4, k + 8, \infty\}$ $k$ odd $p \neq 3, 13$	$(t^4, t^6 + t^k, t^{k-2})$ $k \geq 9$ $(t^4, t^6 + t^k, t^{k+2})$ if $p \nmid k + 2$ . $(t^4, t^6 + t^k, t^{k+8})$ $(t^4, t^6 + t^k, t^{k+4})$ $(t^4, t^6 + t^k, 0)$ $7 \leq k \leq p - 8$ if $p \geq 17$ , $7 \leq k \leq 8$ if $p = 11$ , $7 \leq k \leq 13$ if $p = 7$ , $k = 7$ if $p = 5$

$\langle 4, 6, r \rangle$ $r$ odd, $p \neq 3, 13$	$(t^4, t^6, t^r)$ $(t^4, t^6, t^r + t^{r+2})$ if $p r$ $7 \leq r \leq p + 8$ if $p \geq 17$ , $7 \leq r \leq 29$ if $p = 11$ , $7 \leq r \leq 15$ if $p = 7$ , $7 \leq r \leq 11$ if $p = 5$
	if $p = 3$ $(t^4, t^6, t^7)$ $(t^4, t^6 + t^9, t^7)$
	if $p = 13$ $(t^4, t^6, t^7)$ $(t^4, t^6, t^9)$ $(t^4, t^6 + t^7, t^9)$

Assume that  $p \neq 3, 7$ .

$\langle 4, 7 \rangle$	$(t^4, t^7, 0),$ $(t^4, t^7 + t^9, 0),$ $(t^4, t^7 + t^{13}, 0),$
$\langle 4, 7, 9 \rangle$	$(t^4, t^7, t^9),$ $(t^4, t^7, t^9 + t^{10}),$
	let $p = 5$ $(t^4, t^7, t^9)$
	let $p = 13$ $(t^4, t^7, t^9)$ $(t^4, t^7, t^9 + t^{10}).$
$\langle 4, 7, 10 \rangle$	$(t^4, t^7, t^{10}),$ $(t^4, t^7 + t^9, t^{10}),$
$\langle 4, 7, 13 \rangle$	$(t^4, t^7, t^{13}),$ $(t^4, t^7 + t^9, t^{13}),$
$\langle 4, 7, 17 \rangle$	$(t^4, t^7, t^{17}),$ $(t^4, t^7 + t^9, t^{17}),$ $(t^4, t^7 + t^{13}, t^{17}),$

Characteristic $p = 2$	
$\langle 1 \rangle$	$(t, 0, 0)$
$\langle 2, k \rangle$	$(t^2, t^k, 0), k \geq 3$ odd $(t^2 + t^m, t^k, 0), 0 < m < k, k, m$ odd
$\langle 3, 4 \rangle$	$(t^3, t^4, 0)$ $(t^3, t^4 + t^5, 0)$
$\langle 3, 4, 5 \rangle$	$(t^3, t^4, t^5)$
$\langle 3, 5 \rangle$	$(t^3, t^5, 0)$
$\langle 3, 5, 7 \rangle$	$(t^3, t^5, t^7)$
$\langle 3, 7 \rangle$	$(t^3, t^7, 0)$ $(t^3, t^7 + t^8, 0)$
$\langle 3, 7, 8 \rangle$	$(t^3, t^7, t^8)$
$\langle 3, 7, 11 \rangle$	$(t^3, t^7, t^{11})$ $(t^3, t^7 + t^8, t^{11})$
$\langle 3, 8, 10 \rangle$	$(t^3, t^8, t^{10})$
$\langle 3, 8, 13 \rangle$	$(t^3, t^8, t^{13})$ $(t^3, t^8 + t^{10}, t^{13})$
$\langle 3, 10, 11 \rangle$	$(t^3, t^{10}, t^{11})$
$\langle 3, 10, 14 \rangle$	$(t^3, t^{10}, t^{14})$ $(t^3, t^{10} + t^{11}, t^{14}).$

## 2. SEMIGROUPS AND DEFORMATIONS

We start collecting some useful properties of numerical semigroups.

**Lemma 12.** *Let  $\Gamma = \langle g_1, \dots, g_m \rangle$  be a semigroup given by minimal generators. Then*

- (1)  $m \leq g_1$ ,
- (2) if  $m = g_1$  then  $a + b - g_1 \in \Gamma$  for  $a, b \in \Gamma, a, b \neq 0$ ,
- (3)  $g_i \leq c(\Gamma) + g_1 - 1$ ,
- (4)  $\delta(\Gamma) \leq c(\Gamma) \leq 2\delta(\Gamma)$ .

*Proof.* A proof of this properties can be found in [19] and [6]. □

For the classification of parametrizations we need the following results about semigroups.

**Definition 13.** *Let  $\Gamma = \langle a_1, \dots, a_l \rangle$ ,  $\bar{\Gamma} = \langle b_1, \dots, b_s \rangle$  be semigroups given by minimal generators. If  $l < s$  (resp.  $l > s$ ) then we extend the minimal generators  $a_1, \dots, a_l$  to  $a_1, \dots, a_l, \infty, \dots, \infty$  (resp.  $b_1, \dots, b_s$  to  $b_1, \dots, b_s, \infty, \dots, \infty$ ).  $\Gamma < \bar{\Gamma}$  if  $\Gamma \neq \bar{\Gamma}$  and there exist  $i$  such that  $a_j = b_j$  for  $j < i$  and  $a_i < b_i$ .*



**Example 14.**  $\langle g_1, g_2 \rangle > \langle g_1, g_2, g_3 \rangle$  if  $g_3 \neq 0$ .

**Remark 15.** Let  $(x(t), y(t), z(t))$  be a parametrization with semigroup  $\Gamma > \langle 4, 7 \rangle$ . Then Remark 6 and Lemma 20 imply that the parametrization is not simple.

**Lemma 16.** Let  $\bar{f} = (\bar{x}(t), \bar{y}(t), \bar{z}(t))$  and  $f = (x(t), y(t), z(t))$  be parametrizations with semigroup  $\bar{\Gamma}$  resp.  $\Gamma$ . If  $\Gamma \subseteq \bar{\Gamma}$  then  $\bar{\Gamma} \leq \Gamma$ .

*Proof.* Let  $\bar{\Gamma} = \langle \bar{\beta}_1, \dots, \bar{\beta}_s \rangle$  resp.  $\Gamma = \langle \beta_1, \dots, \beta_t \rangle$  be given by their minimal system of generators. Consider  $\beta_1 \in \Gamma \subseteq \bar{\Gamma}$ . It implies  $\beta_1 = \sum c_i \bar{\beta}_i$  with  $c_i \in \mathbb{Z}$ ,  $c_i \geq 0$ . This implies  $\beta_1 \geq \bar{\beta}_1$ . If  $\beta_1 > \bar{\beta}_1$  then  $\bar{\Gamma} < \Gamma$ . Assume we have found  $i$  such that  $\beta_1 = \bar{\beta}_1, \dots, \beta_{i-1} = \bar{\beta}_{i-1}$ . Since  $\beta_i \in \Gamma \subseteq \bar{\Gamma}$  and  $\beta_i \notin \langle \beta_1, \dots, \beta_{i-1} \rangle = \langle \bar{\beta}_1, \dots, \bar{\beta}_{i-1} \rangle$ . We have  $\beta_i = \sum c_i \bar{\beta}_i$ ,  $c_i \geq 0$  and  $c_k \neq 0$  for some  $k \geq i$ . This implies that  $\beta_i \geq \bar{\beta}_i$ . If  $\beta_i > \bar{\beta}_i$  then  $\bar{\Gamma} < \Gamma$ . Using induction we obtain  $\bar{\Gamma} \leq \Gamma$ .  $\square$

**Lemma 17.** Assume that the characteristic  $p \neq 2$ . Let  $(x(t), y(t), z(t))$  be a parametrization in weak normal form such that  $\text{ord}_t(x(t)) = 4$ ,  $\text{ord}_t(y(t)) = 6$  and the semigroup  $\Gamma$  is minimally generated by 4 elements. Then  $(x(t), y(t))$  defines a plane curve with semigroup  $\Gamma_0 = \langle 4, 6, k \rangle$  and  $\Gamma = \langle 4, 6, s, k \rangle$  with  $s \in \{k-8, k-4, k-2\}$  or  $\Gamma = \langle 4, 6, k, k+2 \rangle$ .

*Proof.* We may assume that  $x(t) = t^4$ . If  $y(t) \in K[[t^2]]$  the  $\Gamma = \langle 4, 6, \text{ord}_t(x(t)) \rangle$ . This is a contradiction to our assumption. This implies that  $(x(t), y(t))$  defines a plane curve with semigroup  $\Gamma_0 = \langle 4, 6, k \rangle$  for a suitable odd<sup>5</sup>  $k$ . The conductor of this semigroup is  $k+3$ . Since the curve  $(x(t), y(t), z(t))$  is in weak normal form we have  $s := \text{ord}_t(z(t)) \notin \Gamma_0$ . If  $s \leq k-10$  or  $s = k-6$  then  $k-6 \in \langle 4, 6, s \rangle$  this is a contradiction to the assumption that  $(x(t), y(t), z(t))$  is in weak normal form. The remaining possibilities for  $s$  are  $k-8, k-4, k-2$  and  $k+2$ .  $\square$

**Proposition 18.** Let  $\Gamma$  be the semigroup of the parametrization  $(x(t), y(t), z(t))$  and assume that  $\Gamma \leq \langle 4, 7 \rangle$ . If the parametrization has multiplicity 4 assume additionally that the characteristic  $p > 2$ . Let  $(X(u, t), Y(u, t), Z(u, t))$  be a deformation and  $\Gamma_u$  be the corresponding semigroup. Then  $\Gamma_u \leq \Gamma$ .

*Proof.* We may assume that  $(x(t), y(t), z(t))$  is in weak normal form. If  $z(t) = 0$  then the result follows from the corresponding proposition for plane curves [17]. If  $z(t) \neq 0$  and  $\Gamma$  has as minimal generators 3 elements then  $\{x(t), y(t), z(t)\}$  form a sagbi basis of the algebra  $\mathbb{K}[[x(t), y(t), z(t)]]$ ,  $\Gamma = \langle \text{ord}_t x(t), \text{ord}_t y(t), \text{ord}_t z(t) \rangle$  and we are in one of the following cases

- (1)  $\Gamma = \langle 3, k, s \rangle$
- (2)  $\Gamma = \langle 4, 5, s \rangle$
- (3)  $\Gamma = \langle 4, 6, s \rangle$
- (4)  $\Gamma = \langle 4, 7, s \rangle$

If the deformation decreases the order we have  $\Gamma_u < \Gamma$ . If the order is constant then we may assume that  $X(u, t) = x(t) \bmod t^{\text{ord}_t x(t)+1}$  and  $\text{ord}_t Y(u, t) > \text{ord}_t X(u, t)$  and  $\text{ord}_t Z(u, t) > \text{ord}_t X(u, t)$  and both orders are not divisible by  $\text{ord}_t X(u, t)$ . If one of the two orders is smaller than  $\text{ord}_t y(t)$  then  $\Gamma_u < \Gamma$ . Now we may assume

<sup>5</sup> $k$  is minimal such that  $t^{k-6}$  occurs as a monomial in  $y(t)$ .

additionally that  $Y(u, t) = y(t) \bmod t^{\text{ord}_t y(t)+1}$  and  $\text{ord}_t Z(u, t) > \text{ord}_t Y(u, t)$  and  $\text{ord}_t Z(u, t) \notin \langle \text{ord}_t x(t), \text{ord}_t y(t) \rangle$ . If  $\text{ord}_t Z(u, t) < \text{ord}_t z(t)$  we have  $\Gamma_u < \Gamma$ . If  $\text{ord}_t Z(u, t) = \text{ord}_t z(t)$  it is possible that the deformation is no more a Sagbi basis. But this would enlarge the generators  $\text{ord}_t x(t), \text{ord}_t y(t), \text{ord}_t z(t)$  and again by definition we have  $\Gamma_u \leq \Gamma$ .

Finally we have to consider the case that  $\Gamma$  is generated by 4 elements. We may assume that the parametrization is in weak normal form. We apply Lemma 17 and obtain that  $(x(t), y(t))$  defines a plane curve with semigroup  $\Gamma_0 = \langle 4, 6, k \rangle$ . Again we apply the corresponding Proposition for the plane curve to  $(x(t), y(t))$ . Let  $\Gamma_{0,u}$  be the semigroup corresponding to  $(X(u, t), Y(u, t))$ . Then  $\Gamma_{0,u} \leq \Gamma_0$ . If  $\Gamma_{0,u} < \Gamma_0$ , we are done. If  $\Gamma_{0,u} = \Gamma_0 = \langle 4, 6, k \rangle$  notice that the four-th generator of  $\Gamma$ ,  $s = \text{ord}_t(z(t))$  cannot increase in a deformation. We obtain  $\Gamma_u \leq \Gamma$ .  $\square$

**Lemma 19.** *Given a parametrization  $f = (x(t), y(t), z(t))$  with the semigroup  $\langle 4, 6, k, s \rangle$  ( $s = \infty$  included), and  $F(u, t)$  a deformation of  $f$  with multiplicity 3 and semigroup  $\Gamma_u$  for  $u \neq 0$ . Then  $\Gamma_u \leq \langle 3, 7 \rangle$ .*

*Proof.* Let  $f = (x(t), y(t), z(t))$  be a parametrization with semigroup  $\langle 4, 6, k, s \rangle$ . We may assume that

$$f = (t^4, t^6 + t^{k-6}, t^s) \text{ or } f = (t^4, t^6, t^s).$$

We give the proof for the first case. Consider any deformation for this parametrization

$$F = \left( \sum_{i \geq 3} \alpha_i t^i, \sum_{i \geq 3} \beta_i t^i, \sum_{i \geq 3} \gamma_i t^i \right),$$

by definition of deformation we have the following conditions  $\alpha_i(0) = 0$ ,  $i \neq 4$ ,  $\alpha_4(0) = 1$ ,  $\beta_i(0) = 0$ ,  $i \neq 6, k-6$ ,  $\beta_6(0) = 1$ ,  $\beta_{k-6}(0) = 1$ ,  $\gamma_i(0) = 0$ ,  $i \neq s$ ,  $\gamma_s(0) = 1$ .

If  $\alpha_3 = 0$ , we obtain  $\Gamma_u = \langle 3, 4 \rangle$  or  $\langle 3, 4, 5 \rangle$ .

Now assume  $\alpha_3 \neq 0$ , consider  $\beta_3 \sum_{i \geq 3} \alpha_i t^i - \alpha_3 \sum_{i \geq 3} \beta_i t^i$   
 $= (\beta_3 \alpha_4 - \alpha_3 \beta_4) t^4 + (\beta_3 \alpha_5 - \alpha_3 \beta_5) t^5 + (\beta_3 \alpha_6 - \alpha_3 \beta_6) t^6 + (\beta_3 \alpha_7 - \alpha_3 \beta_7) t^7 + \dots$

If  $(\beta_3 \alpha_4 - \alpha_3 \beta_4) \neq 0$ , we obtain  $\Gamma_u = \langle 3, 4 \rangle$  or  $\langle 3, 4, 5 \rangle$ .

If  $(\beta_3 \alpha_4 - \alpha_3 \beta_4) = 0$ , and  $(\beta_3 \alpha_5 - \alpha_3 \beta_5) \neq 0$  then  $3, 5 \in \Gamma$ . This implies that  $\Gamma_u \leq \langle 3, 5 \rangle$ .

If  $(\beta_3 \alpha_4 - \alpha_3 \beta_4) = 0$ , and  $(\beta_3 \alpha_5 - \alpha_3 \beta_5) = 0$ , and  $(\beta_3 \alpha_6 - \alpha_3 \beta_6) \neq 0$ .

then  $\alpha_3^2 (\beta_3 \sum_{i \geq 3} \alpha_i t^i - \alpha_3 \sum_{i \geq 3} \beta_i t^i) - (\beta_3 \alpha_6 - \alpha_3 \beta_6) (\sum_{i \geq 3} \alpha_i t^i)^2$

$$= [\alpha_3^2 (\beta_3 \alpha_7 - \alpha_3 \beta_7) - 2(\beta_3 \alpha_6 - \alpha_3 \beta_6) \alpha_3 \alpha_4] t^7 + \dots$$

$$= \alpha_3^2 [(\beta_3 \alpha_7 - \alpha_3 \beta_7) - 2(\beta_4 \alpha_6 - \alpha_4 \beta_6)] t^7 + \dots$$

Since  $\beta_6(0) \alpha_4(0) = 1$ , we obtain that the coefficient of  $t^7$  is different from zero.

If  $\beta_3 \alpha_6 - \alpha_3 \beta_6 = 0$ , we obtain multiplying with  $\alpha_4$  and using  $\beta_3 \alpha_4 = \alpha_3 \beta_4$  that  $\alpha_3 (\beta_4 \alpha_6 - \alpha_4 \beta_6) = 0$ . But  $\alpha_3 \neq 0$  and  $\alpha_4 \beta_6$  is a unit. This implies  $\beta_4 \alpha_6 - \alpha_4 \beta_6 \neq 0$ . This is a contradiction. This implies that  $7 \in \Gamma_u$  and therefore  $\Gamma_u \leq \langle 3, 7 \rangle$  in this case.  $\square$

### 3. MINIMAL NON-SIMPLE CURVES

The idea is to prove the Theorem 11 for almost all characteristics is the following: We prove for a given parameterized space curve singularities  $f = (x(t), y(t), z(t))$  with  $\text{ord}_t x(t) = 5$  or  $\text{ord}_t x(t) = 4$  and  $\text{ord}_t y(t) \geq 9$  and  $\text{ord}_t z(t) \geq 10$  that  $f$  is not simple. For the other cases, we give normal forms not depending on parameters. The property  $\text{ord}_t x(t) \leq 4$ ,  $\text{ord}_t y(t) \leq 7$  is kept under deformation.

**Lemma 20.** *The following parametrizations are not simple:*

- (1)  $(t^5, t^6, 0)$  and  $(t^5, t^6, t^7)$ .
- (2)  $(t^4, t^9, 0)$  and  $(t^4, t^9, t^{10})$ .

*Proof.* We will prove that

$$(t^5, t^6 + t^8 + at^9, t^7) \sim (t^5, t^6 + t^8 + bt^9, t^7)$$

implies  $a = b$  or  $a = -b$ .

This will prove the lemma since for different  $a$  modulo sign, the parametrizations are in different classes. This gives infinitely many different classes since the field is algebraically closed.

The case  $(t^4, t^9 + t^{11}, t^{10} + at^{11})$  can be treated similarly.

Set

$$\psi(t) = a_1 t + \sum_{i>1} a_i t^i$$

with  $a_1 \neq 0$ , and let

$$\varphi(x, y, z) = (\varphi_1, \varphi_2, \varphi_3) ; \varphi_j = \sum b_{k,l,m}^j x^k y^l z^m$$

be an automorphism of  $\mathbb{K}[[x, y, z]]$ . Assume that

$$\begin{aligned} \psi^5 &= \varphi_1(t^5, t^6 + t^8 + at^9, t^7) \\ \psi^6 + \psi^8 + b\psi^9 &= \varphi_2(t^5, t^6 + t^8 + at^9, t^7) \\ \psi^7 &= \varphi_3(t^5, t^6 + t^8 + at^9, t^7). \end{aligned}$$

This is the condition for  $(t^5, t^6 + t^8 + at^9, t^7) \sim (t^5, t^6 + t^8 + bt^9, t^7)$  according to the definition of  $\mathcal{A}$ -equivalence. Writing down this explicitly we see if  $p \neq 5$  and  $p \neq 7$  then  $a_2 = \dots = a_5 = 0$ ,  $a_1^2 = 1$  and

$$\begin{aligned} \psi^5 &= \varphi_1(t^5, t^6 + t^8 + at^9, t^7) \bmod t^{10} \\ \psi^6 + \psi^8 + b\psi^9 &= \varphi_2(t^5, t^6 + t^8 + at^9, t^7) + (b - a)t^9 \bmod t^{10} \quad (*) \\ \psi^7 &= \varphi_3(t^5, t^6 + t^8 + at^9, t^7) \bmod t^{10} \end{aligned}$$

This implies  $a = a_1^2 b$ .

The computation can be done using SINGULAR. The corresponding code for  $(t^5, t^6 + t^8 + at^9, t^7)$  is as follows: We define the ring

$$R = \mathbb{Q}(a_1, \dots, a_{10}, u_1, \dots, u_{10}, v_1, \dots, v_{10}, w_1, \dots, w_{10}, a, b)[[x, y, z, t]]$$

and the map  $\psi$  as above. The map  $\varphi$  is given by

$$\begin{aligned} \varphi(x) &= \varphi_1 = Hx = u_1 x + u_2 y + u_3 z + \dots, \quad \varphi(y) = \varphi_2 = Hy = v_1 x + v_2 y + v_3 z + \dots, \\ &\text{and } \varphi(z) = \varphi_3 = Hz = w_1 x + w_2 y + w_3 z + \dots \end{aligned}$$

The relations (\*) are given by the polynomials  $W, X, Y$ . Their coefficients are collected in the ideal  $I$ . We compute a Gröbner basis of  $I$  with respect to the lexicographical ordering to obtain the relations between  $a, b, a_1$ .

```

ring R=(0,a1,a2,a3,a4,a5,a6,a7,a8,a9,a10,u1,u2,u3,u4,u5,u6,u7,u8,u9,
u10,v1,v2,v3,v4,v5,v6,v7,v8,v9,v10,w1,w2,w3,w4,w5,w6,w7,w8,w9,w10,a,b)
, (x,y,z,t) ,ds;
poly psi=a1*t+a2*t2+a3*t3+a4*t4+a5*t5+a6*t6+a7*t7+a8*t8+a9*t9+a10*t10;
poly Hx=u1*x+u2*y+u3*z+u4*x2+u5*xy+u6*xz+u7*y2+u8*yz+u9*z2+u10*x3;
poly Hy=v1*x+v2*y+v3*z+v4*x2+v5*xy+v6*xz+v7*y2+v8*yz+v9*z2+v10*x3;
poly Hz=w1*x+w2*y+w3*z+w4*x2+w5*xy+w6*xz+w7*y2+w8*yz+w9*z2+w10*x3;
poly W=jet(psi^5-subst(Hx,x,t5,y,t^6+t8+b*t9,z,t7) ,9);
poly X=jet(psi^6+phi^8+a*phi^9-subst(Hy,x,t5,y,t^6+t8+b*t9,z,t7) ,9);
poly Y=jet(psi^7-subst(Hz,x,t5,y,t^6+t8+b*t9,z,t7) ,9);
matrix M1=coef(W,t);matrix M2=coef(X,t);matrix M3=coef(Y,t);
ideal I;int ii;
for(ii=1;ii<=ncols(M1);ii++){I[size(I)+1]=M1[2,ii];}
for(ii=1;ii<=ncols(M2);ii++){I[size(I)+1]=M2[2,ii];}
for(ii=1;ii<=ncols(M3);ii++){I[size(I)+1]=M3[2,ii];}
ring S=integer, (a2,a3,a4,a5,a6,a7,a8,a9,a10,u1,u2,u3,u4,u5,u6,u7,u8,u9,u10,
v1,v2,v3,v4,v5,v6,v7,v8,v9,v10,w1,w2,w3,w4,w5,w6,w7,w8,w9,w10,
a,b,a1),lp;
ideal I=imap(R,I);std(I);
//==The first 2 polynomials of the standard basis of I are
_[1]=7*a1^10-7*a1^8
_[2]=35*a*a1^8-35*b*a1^9

```

Since  $a_1$  is not zero and the characteristic is different from 5 and 7 we obtain  $a_1^2 = 1$  and  $a = a_1 b$ . This proves our assertion.

It remains to discuss the cases  $p = 5$  and  $p = 7$ . Let us start with  $p = 5$ . We will show that the family  $(t^5 + t^8 + at^9, t^6, t^7)$  contains infinitely many different equivalence classes. The computation can be done using SINGULAR as follows:

```

int ch=5;
ring R=(ch,a1,a2,a3,a4,a5,a6,a7,a8,a9,a10,u1,u2,u3,u4,u5,u6,u7,u8,u9,
u10,v1,v2,v3,v4,v5,v6,v7,v8,v9,v10,w1,w2,w3,w4,w5,w6,w7,w8,w9,w10,a,b)
, (x,y,z,t) ,ds;
poly psi=a1*t+a2*t2+a3*t3+a4*t4+a5*t5+a6*t6+a7*t7+a8*t8+a9*t9+a10*t10;
poly Hx=u1*x+u2*y+u3*z+u4*x2+u5*xy+u6*xz+u7*y2+u8*yz+u9*z2+u10*x3;
poly Hy=v1*x+v2*y+v3*z+v4*x2+v5*xy+v6*xz+v7*y2+v8*yz+v9*z2+v10*x3;
poly Hz=w1*x+w2*y+w3*z+w4*x2+w5*xy+w6*xz+w7*y2+w8*yz+w9*z2+w10*x3;
poly W=jet(psi^5+psi^8+a*psi^9-subst(Hx,x,t5+t8+b*t9,y,t^6,z,t7) ,9);
poly X=jet(psi^6-subst(Hy,x,t5+t8+b*t9,y,t^6,z,t7) ,9);
poly Y=jet(psi^7-subst(Hz,x,t5+t8+b*t9,y,t^6,z,t7) ,9);
matrix M1=coef(W,t);matrix M2=coef(X,t);matrix M3=coef(Y,t);

```

```

ideal I;int ii;
for(ii=1;ii<=ncols(M1);ii++){I[size(I)+1]=M1[2,ii];}
for(ii=1;ii<=ncols(M2);ii++){I[size(I)+1]=M2[2,ii];}
for(ii=1;ii<=ncols(M3);ii++){I[size(I)+1]=M3[2,ii];}
ring S=ch,(a2,a3,a4,a5,a6,a7,a8,a9,a10,u1,u2,u3,u4,u5,u6,u7,u8,u9,u10,
v1,v2,v3,v4,v5,v6,v7,v8,v9,v10,w1,w2,w3,w4,w5,w6,w7,w8,w9,w10,
a,b,a1),lp;
ideal I=imap(R,I);std(I);
//==The first 2 polynomials in the standard basis are
_[1]=a1^8-a1^5
_[2]=a*a1^5-b*a1^7

```

Since  $a_1$  is not zero we obtain  $a_1^3 = 1$  and  $a = a_1^2 b$ .

Now we consider the case  $p = 7$ . We will show that the family  $(t^5, t^6, t^7 + t^8 + at^9)$  contains infinitely many different equivalence classes. The computation can be done using SINGULAR as follows:

Since the code is the same as above and only the definition of the integer  $ch$  and the polynomials  $W, X, Y$  change we will only give those data and the result.

```

int ch=7;
poly W=jet(psi^5-subst(Hx,x,t5,y,t^6,z,t7+t8+b*t9) ,9);
poly X=jet(psi^6-subst(Hy,x,t5,y,t^6,z,t7+t8+b*t9) ,9);
poly Y=jet(psi^7+psi^8+a*psi^9-subst(Hz,x,t5,y,t^6,z,t7+t8+b*t9) ,9);
//==The first 2 polynomials in the standard basis are
_[1]=a1^8-a1^7
_[2]=a^2*a1^7+a*b*a1^9-a*b*a1^7-2*a*a1^9-b^2*a1^7+2*b*a1^7

```

Since  $a_1$  is not zero we obtain  $a_1 = 1$  and  $a = b$  or  $a = 2 - b$ .  $\square$

**Lemma 21.** *Let  $\mathbb{K}$  be a field of characteristic 3 then the parametrizations with the semigroup  $\langle 3, 7, 11 \rangle$  are not simple.*

*Proof.* To prove this we just need to show that

$$(t^3 + \sum_{i \geq 4} \alpha_i t^i, t^7 + \sum_{i \geq 8} \gamma_i t^i, t^{11}) \sim (t^3 + \sum_{i \geq 4} \beta_i t^i, t^7 + \sum_{i \geq 8} \delta_i t^i, t^{11}).$$

with  $\alpha_4 = \beta_4 = 1$  implies<sup>6</sup>  $\alpha_5 = \beta_5$ .

The computation can be done using SINGULAR:

Since the code is the same as above and only the definition of the integer  $ch$  and the polynomials  $W, X, Y$  change we will only give those data and the result.

```

int ch=3;
poly W=jet(psi^3+psi^4+a*psi^5-subst(Hx,x,t3+t4+b*t5,y,t7,z,t11) ,11);
poly X=jet(psi^7-subst(Hy,x,t3+t4+b*t5,y,t7,z,t11) ,11);
poly Y=jet(psi^11-subst(Hz,x,t3+t4+b*t5,y,t7,z,t11) ,11);
//==The first 2 polynomials in the standard basis are

```

<sup>6</sup>If  $\alpha_4$  and  $\beta_4$  are different from 0 we can always obtain after applying a suitable automorphism of  $\mathbb{K}[[t]]$  that they are 1.

$\_ [1]=a_1^4-a_1^3$   
 $\_ [2]=a*a_1^3-b*a_1^3$

Since  $a_1$  is not zero we obtain  $a_1 = 1$  and  $a = b$ .  $\square$

**Corollary 22.** *Let  $\mathbb{K}$  be a field of characteristic 3 then the parametrizations with the semigroup  $\langle 4, 7, 9 \rangle$  and  $\langle 4, 6, k, s \rangle$ ,  $k \geq 13$ ,  $s \geq 9$  (the case  $k = \infty$  included), are not simple.*

*Proof.* These parametrizations deform into parametrizations with the semigroup  $\langle 3, 7, 11 \rangle$  which are not simple.

To see this let us consider the case  $s = 9$ . Using Corollary 9 (Zariski's Theorem) we obtain that the corresponding parametrization is equivalent to  $(t^4, t^6 + at^7 + bt^{11}, t^9 + ct^{11})$ . As a deformation we consider  $(\alpha t^3 + t^4, t^6 + at^7 + bt^{11}, t^9 + ct^{11})$ . For  $\alpha \neq 0$  and we obtain that this parametrization is equivalent to

$$(\alpha t^3 + t^4, (\alpha^2 a - 2\alpha)t^7 - t^8 + \alpha^2 bt^{11}, \alpha^3 ct^{11} - t^{12})$$

having semigroup  $\langle 3, 7, 11 \rangle$ .

In case of the semigroup  $\langle 4, 7, 9 \rangle$ , we consider the parametrization  $(t^4, t^7 + at^{10}, t^9 + bt^{10})$  obtained from the generic one using Corollary 9 (Zariski's Theorem). As a deformation we consider  $(\alpha t^3 + t^4, t^7 + at^{10}, t^9 + bt^{10}) \sim (\alpha t^3 + t^4, t^7, 0)$  having semigroup  $\langle 3, 7 \rangle > \langle 3, 7, 11 \rangle$ .  $\square$

**Lemma 23.** *Let  $\mathbb{K}$  be a field of characteristic 5 then the parametrizations with the semigroup  $\langle 4, 7, 10 \rangle$  are not simple.*

*Proof.* We will show that the family  $(t^4, t^7 + t^9, t^{10} + at^{13})$  contains infinitely many different equivalence classes. The computation can be done using SINGULAR as follows:

Since the code is the same as above and only the definition of the integer  $ch$  and the polynomials  $W, X, Y$  change we will only give those data and the result.

```

int ch=5;
poly W=jet(psi^4-subst(Hx,x,t4,y,t7+t9,z,t10+b*t13) ,13);
poly X=jet(psi^7+psi^9-subst(Hy,x,t4,y,t7+t9,z,t10+b*t13) ,13);
poly Y=jet(psi^10+a*psi^13-subst(Hz,x,t4,y,t7+t9,z,t10+b*t13) ,13);

```

We obtain  $a_1^2 = 1$  and  $a = a_1 b$ .  $\square$

**Lemma 24.** *Let  $\mathbb{K}$  be a field of characteristic 7 then the parametrizations with the semigroup  $\langle 4, 7, 13 \rangle$  are not simple.*

*Proof.* We will show that the family  $(t^4, t^7 + t^9 + at^{10}, t^{13})$  contains infinitely many different equivalence classes. The computation can be done using SINGULAR as follows:

Since the code is the same as above and only the definition of the integer  $ch$  and the polynomials  $W, X, Y$  change we will only give those data and the result.

```

int ch=7;
poly W=jet(psi^4-subst(Hx,x,t4,y,t7+t9+b*t10,z,t13) ,13);

```

```

poly X=jet(psi^7+psi^9+a*psi^10-subst(Hy,x,t4,y,t7+t9+b*t10,z,t13) ,13);
poly Y=jet(psi^11-subst(Hz,x,t4,y,t7+t9+b*t10,z,t13) ,13);
//==The first 2 polynomials in the standard basis are
_[1]=a1^9-a1^7
_[2]=a*a1^7-b*a1^8

```

Since  $a_1$  is different from zero we obtain  $a_1^2 = 1$  and  $a = a_1 b$ .  $\square$

**Lemma 25.** *Let  $\mathbb{K}$  be a field of characteristic 13 then a parametrization with the semigroup  $\langle 4, 6, 11, 13 \rangle$  is not simple.*

*Proof.* We will show that the family  $(t^4, t^6 + t^7 + at^9, t^{11})$  whose semigroup is  $\langle 4, 6, 11, 13 \rangle$  contains infinitely many different equivalence classes. The computation can be done using SINGULAR as follows:

Since the code is the same as above and only the definition of the integer  $ch$  and the polynomials  $W, X, Y$  change we will only give those data and the result.

```

int ch=13;
poly W=jet(psi^4-subst(Hx,x,t4,y,t6+t7+b*t9,z,t11) ,10);
poly X=jet(psi^6+psi^7+a*psi^9-subst(Hy,x,t4,y,t6+t7+b*t9,z,t11) ,10);
poly Y=jet(psi^11-subst(Hz,x,t4,y,t6+t7+b*t9,z,t11) ,10);
//==The first 2 polynomials in the standard basis are
_[1]=a1^7-a1^6
_[2]=a*a1^6-b*a1^7

```

Since  $a_1$  is different from zero we obtain  $a_1 = 1$  and  $a = b$ .  $\square$

**Corollary 26.** *Let  $\mathbb{K}$  be a field of characteristic 13 then a parametrization with the semigroup  $\langle 4, 7, 10 \rangle$  are not simple.*

*Proof.* The parametrization  $(t^4, t^7, t^{10})$  can be deformed to  $(t^4, \alpha t^6 + t^7, t^{10}) \sim (t^4, \alpha t^6 + t^7, t^{11})$  with semigroup  $\langle 4, 6, 11, 13 \rangle$  which is not simple.  $\square$

**Proposition 27.** *Let  $\mathbb{K}$  be a field of characteristic 2 Let  $f = (x(t), y(t), z(t))$  be a space curve singularity with the semigroup  $\langle 4, 5, 6 \rangle$ ,  $\langle 3, 10, 17 \rangle$  or  $\langle 3, 8 \rangle$ . Then  $f$  is not simple.*

*Proof.* We will first show that the family  $(t^4 + at^7, t^5, t^6 + t^7)$  contains infinitely many different equivalence classes. The computation can be done using SINGULAR as follows:

Since the code is the same as above and only the definition of the integer  $ch$  and the polynomials  $W, X, Y$  change we will only give those data and the result.

```

int ch=2;
poly W=jet(psi^4+a*psi^7-subst(Hx,x,t4+b*t7,y,t5,z,t6+t7) ,7);
poly X=jet(psi^5-subst(Hy,x,t4+b*t7,y,t5,z,t6+t7) ,7);
poly Y=jet(psi^6+psi^7-subst(Hz,x,t4+b*t7,y,t5,z,t6+t7) ,7);
//==The first 2 polynomials in the standard basis are
_[1]=a1^7+a1^6
_[2]=a*a1^5+b*a1^4

```

Since  $a_1$  is different from zero and  $p = 2$  we obtain  $a_1 = 1$  and  $a = b$ .

Now we will show that the family  $(t^3, t^{10} + t^{11} + at^{14}, t^{17})$  contains infinitely many different equivalence classes. The computation can be done using SINGULAR as follows:

Since the code is the same as above and only the definition of the integer  $ch$  and the polynomials  $W, X, Y$  change we will only give those data and the result.

```
int ch=2;
poly W=jet(psi^3-subst(Hx,x,t3,y,t10+t11+b*t14,z,t17) ,14);
poly X
=jet(psi^10+ psi^11+a*psi^14-subst(Hy,x,t3,y,t10+t11+b*t14,z,t17) ,14);
poly Y=jet(psi^17-subst(Hz,x,t3,y,t10+t11+b*t14,z,t17) ,14);
//==The first 2 polynomials in the standard basis are
_[1]=a1^11+a1^10
_[2]=a^3*a1^10+a^2*b*a1^10+a*b^2*a1^10+b^3*a1^10
```

Since  $a_1$  is different from zero and  $p = 2$  we obtain  $a^3a_1^{10} + a^2ba_1^{10} + ab^2a_1^{10} + b^3a_1^{10} = a_1^{10}(a + b)^3$  and therefore  $a_1 = 1$  and  $a = b$ .

The case of the semigroup  $\langle 3, 8 \rangle$  is proved in [17].  $\square$

#### 4. CURVES OF MULTIPLICITY 2

In this section we assume that the characteristic  $p > 2$ .

**Proposition 28.** *Let  $(x(t), y(t), z(t))$  be a parametrized space curve singularity and ord  $x(t) = 2$ . Then for a suitable odd  $k$ ,  $(x(t), y(t), z(t)) \sim (t^2, t^k, 0)$ .*

*Proof.* Since the characteristic  $p > 2$ , we may assume that  $x(t) = t^2$ . If  $y(t) \in \mathbb{K}[[t^2]]$  then  $(x(t), y(t), z(t))$  is equivalent to  $(t^2, z(t), 0)$ .

If  $y(t) \notin \mathbb{K}[[t^2]]$ . We may assume  $y = \sum_{i \geq k} b_i t^i$ ,  $k$  odd,  $b_k \neq 0$ . We obtain

$$(t^2, y(t), z(t)) \sim (t^2, \sum_{i \geq k} b_i t^i, \sum_{i > k} c_i t^i).$$

Since the conductor of the semigroup is equal to  $k - 1$ , we obtain using Zariski's Theorem (Corollary 9) that  $(t^2, \sum_{i \geq k} b_i t^i, \sum_{i > k} c_i t^i) \sim (t^2, t^k, 0)$ .  $\square$

#### 5. CURVES OF MULTIPLICITY 3

In this section we assume that the characteristic  $p > 2$ . First we recall the results of Lemma 4,5,6 and 7 of [17] and join them to the following Proposition.

**Proposition 29.** *Consider the plane curve  $f = (t^3, t^k + t^l + \sum_{i > l} a_i t^i)$  with <sup>7</sup>  $k < l$  and  $k \cdot l \equiv 2 \pmod{3}$ .*

- (1) *If  $l \geq 2k - 2$  then  $f \sim (t^3, t^k)$ .*
- (2) *If  $l \geq 2k - 8$  and  $p \neq 3$  then  $f \sim (t^3, t^k + t^l)$ .*
- (3) *If  $p \nmid l - k$  then  $f \sim (t^3, t^k + t^l)$ .*

<sup>7</sup>Note that  $k \cdot l \equiv 2 \pmod{3}$  and  $2k - 9 < l < 2k - 2$  implies  $l = 2a - 3$  or  $l = 2a - 6$ .



(4) If  $p|l - k$  and  $l \leq 2k - 9$  then  $f$  is not simple<sup>8</sup>.

**Proposition 30.** Let  $(x(t), y(t), z(t))$  be a parametrized of a simple space curve singularity of multiplicity 3.

If  $p \neq 3$  then  $(x(t), y(t), z(t)) \sim (t^3, t^k + t^l, t^r)$  and

- (1)  $3 \nmid k$
- (2)  $l = \infty$  or  $k < l \leq 2k - 6$  and  $k \cdot l \equiv 2 \pmod{3}$ .
- (3)  $r = \infty$  or  $k < r < 2k - 2$  and  $k \cdot r \equiv 2 \pmod{3}$ .
- (4)  $k < p + 9$  or  $2p + 9 > k \geq p + 9$  and  $l < k + p$  or  $r \leq k + p$ .
- (5)  $\Gamma = \langle 3, k, r \rangle$  with the conductor<sup>9</sup>  $\min\{r - 2, 2k - 2\}$ .

If  $p = 3$  then  $(x(t), y(t), z(t))$  is equivalent to one of the following parametrizations:

- (6)  $(t^3, t^5, 0)$
- (7)  $(t^3 + t^4, t^5, 0)$
- (8)  $(t^3, t^5, t^7)$
- (9)  $(t^3 + t^4, t^5, t^7)$
- (10)  $(t^3, t^7, t^8)$
- (11)  $(t^3 + t^4, t^7, t^8)$
- (12)  $(t^3 + t^5, t^7, t^8)$ .

*Proof.* If  $p = 3$ , then the simple plane parametrizations with multiplicity 3 are equivalent to  $(t^3, t^5)$  or  $(t^3 + t^4, t^5)$ . Since the conductor of  $\langle 3, 5 \rangle$  is 8 one has to add (6), (7), (8) or (9).

Lemma 21 implies that the parametrization  $(t^3, t^7, t^{11})$  is not simple. It remains to prove that parametrizations with semigroup  $\langle 3, 7, 8 \rangle$  are simple. Corollary 9 (Zariski's Theorem) implies that such a parametrization is equivalent to  $(t^3 + at^4 + bt^5, t^7, t^8)$ . If  $a = 0$  (respectively  $b = 0$  we obtain using the  $\mathbb{K}^*$ -action  $(t^3 + t^5, t^7, t^8)$  respectively  $(t^3 + t^4, t^7, t^8)$ . If  $a = b = 0$ , we obtain  $(t^3, t^7, t^8)$ .

If  $a \neq 0$ , we use the  $\mathbb{K}[[t]]$ -automorphism defined by  $t \rightarrow t - \frac{b}{a}t^2$  and the  $\mathbb{K}^*$ -action to obtain  $(t^3 + t^4, t^7, t^8)$ . Since in a deformation of a parametrization with semigroup  $\langle 3, 7, 8 \rangle$  we may only have the semigroups  $\Gamma \leq \langle 3, 7, 8 \rangle$ , we obtain the cases (6)–(12) or the curves of multiplicity 2. This implies that parametrizations with semigroup  $\langle 3, 7, 8 \rangle$  are simple.

Now assume that  $p > 3$  and our parametrization is in weak normal form, i.e.  $x(t) = t^3$ ,  $y(t) = t^k + \sum_{i>k, i \notin \Gamma} a_i t^i$ ,  $z(t) = 0$  or  $z(t) = t^r + \sum_{i>r, i \notin \Gamma} b_i t^i$  and  $3 \nmid k$ ,  $r \notin \langle 3, k \rangle$ . We apply Proposition 29 and obtain that the plane curve  $(x(t), y(t))$  is simple if and only if

$$(x(t), y(t)) \sim (t^3, t^k + t^l)$$

with the following properties:

- (1)  $3 \nmid k$
- (2)  $l = \infty$  or  $k < l \leq 2k - 6$  and  $k \cdot l \equiv 2 \pmod{3}$ .
- (3)  $k < p + 9$  or  $2p + 9 > k \geq p + 9$  and  $l < k + p$  or  $r \leq k + p$ .

<sup>8</sup>Note that  $p|l - k$  and  $l \leq 2k - 9$  implies  $k \geq p + 9$ . Especially the curve  $(t^3, t^{p+9} + t^{2p+9})$  is not simple.

<sup>9</sup>If  $r < \infty$  then the conductor is  $r - 2$ .

Now assume that  $z(t) \neq 0$ . Since the conductor of the semigroup  $\langle 3, k \rangle$  is  $2k - 2$ , we know that  $k < r < 2k - 2$  and  $k \cdot r \equiv 2 \pmod{3}$ . The conductor of the semigroup  $\langle 3, k, r \rangle$  is  $r - 2$ . This implies that

$$(x(t), y(t), z(t)) \sim (t^3, t^k + t^l, t^r).$$

If  $l \in \langle 3, k, r \rangle$  then

$$(x(t), y(t), z(t)) \sim (t^3, t^k, t^r).$$

$(t^3, t^k + t^l, t^r)$  is simple if  $k < p + 9$  or  $2p + 9 > k \geq p + 9$  and  $l < p + k$  since the plane curve  $(t^3, t^k + t^l)$  is simple and  $r$  can only decrease<sup>10</sup> in a deformation.

If  $2p + 9 > k \geq p + 9$  and  $l \geq p + k$  but  $r \leq k + p$  we can add a suitable multiple of  $t^r$  to  $t^k + t^l$  to obtain a simple parametrization.  $\square$

## 6. CURVES OF MULTIPLICITY 4

In this section we assume that the characteristic  $p > 2$ .

**Proposition 31.** *Assume that the characteristic  $p > 3$  and  $p \neq 13$ . Let  $(x(t), y(t), z(t))$  be a parametrized simple space curve singularity of multiplicity 4 with the semigroup  $\Gamma$ . Assume  $5 \notin \Gamma$  and  $6 \in \Gamma$ . Then  $(x(t), y(t), z(t))$  is equivalent to one of the following parametrization.*

*Let  $k$  be odd and  $7 \leq k \leq p - 8$  if  $p \geq 17$ ,  $7 \leq k \leq 8$  if  $p = 11$ ,  $7 \leq k \leq 13$  if  $p = 7$ ,  $k = 7$  if  $p = 5$ .*

- (1)  $(t^4, t^6 + t^k, t^{k-2})$ ,  $k \geq 9$ .
- (2)  $(t^4, t^6 + t^k, t^{k+2})$  if  $p \nmid k + 2$ .
- (3)  $(t^4, t^6 + t^k, t^{k+4})$
- (4)  $(t^4, t^6 + t^k, t^{k+8})$
- (5)  $(t^4, t^6 + t^k, 0)$

*Let  $r$  be odd and  $7 \leq r \leq p + 8$  if  $p \geq 17$ ,  $7 \leq r \leq 29$  if  $p = 11$ ,  $7 \leq r \leq 15$  if  $p = 7$  and  $7 \leq r \leq 11$  if  $p = 5$ .*

- (6)  $(t^4, t^6, t^r)$
- (7)  $(t^4, t^6, t^r + t^{r+2})$  if  $p|r$ .

*Proof.* We may assume that the parametrization is in weak normal form, i.e.  $x(t) = t^4$ ,  $y(t) = t^6 + \sum_{i>6, i \notin \Gamma} a_i t^i$  and  $z(t) = 0$  or  $z(t) = t^r + \sum_{i>r, i \notin \Gamma} b_i t^i$ ,  $r > 6$  and odd. If  $y(t) \in \mathbb{K}[[t^2]]$  then the weak normal form implies  $y(t) = t^6$ . In this case the conductor of the semigroup of  $(x(t), y(t), z(t))$  is  $r + 3$  and we have as weak normal form  $(t^4, t^6, t^r + b_{r+2}t^{r+2})$ .

If  $p \nmid r$  then  $(t^4, t^6, t^r + b_{r+2}t^{r+2}) \sim (t^4, t^6, t^r)$ . If  $p|r$  and  $b_{r+2} \neq 0$  then  $(t^4, t^6, t^r + b_{r+2}t^{r+2}) \sim (t^4, t^6, t^r + t^{r+2})$ . This are the cases (6) and (7) of the proposition.

Now assume that  $y(t) \notin \mathbb{K}[[t^2]]$ . Then we apply the plane curve classification (cf.[17]) to  $(x(t), y(t))$  and obtain

$$(x(t), y(t)) \sim (t^4, t^6 + t^k), \quad k \geq 7, \text{ odd.}$$

This parametrization is simple if  $p \neq 13$ ,  $k \leq p - 8$  if  $p \geq 17$ ,  $k \leq 25$ , if  $p = 11$ ,  $k \leq 13$ , if  $p = 7$  and  $k = 7$ , if  $p = 3$  or  $p = 5$ . If  $z(t) = 0$ , we obtain (5).

<sup>10</sup>In a deformation the term  $t^r$  survives.

If  $z(t) \neq 0$  then  $r \notin \langle 4, 6, k+6 \rangle$ . Since  $k \notin \Gamma$ , we have  $r > k$  or  $r = k - 2$  since the semigroup  $\langle 4, 6, r \rangle$  has  $r + 3$  as conductor. On the other hand  $r < k + 9$  since  $k + 9$  is the conductor of  $\langle 4, 6, k + 6 \rangle$ . This implies  $r \in \{k - 2, k + 2, k + 4, k + 8\}$  and the conductor of  $\Gamma$  is  $k + 5$  if  $r = k + 8$  or  $k + 2$ ,  $k + 3$  if  $r = k + 4$  and  $k + 1$  if  $r = k - 2$ . We obtain the cases (1) to (4) of the proposition and it remains to prove that they are simple.

Let  $(X(u, t), Y(u, t), Z(u, t))$  be a deformation of  $(t^4, t^6 + \alpha t^k, \beta t^r + \gamma t^{r+2})$ ,  $\alpha, \beta, \gamma \in \{0, 1\}$ . If the deformation has constant multiplicity 4 then  $k$  and  $r$  can only decrease, i.e. for a given fixed  $u$

$$(X(u, t), Y(u, t), Z(u, t)) \sim (t^4, t^6 + \bar{\alpha} t^{\bar{k}}, \bar{\beta} t^{\bar{r}} + \bar{\gamma} t^{\bar{r}+2})$$

with  $\bar{k} \leq k$  and  $\bar{r} \leq r$ . This is an immediate consequence of proposition 3.2.3. This implies that there are only finitely many different equivalence classes in this deformation.

If  $(X(u, t), Y(u, t), Z(u, t))$  is a deformation with multiplicity 3. Lemma 19 implies that the associated semigroup  $\Gamma_u$  satisfies  $\Gamma_u \leq \langle 3, 7 \rangle$ . This implies that for fixed  $u \neq 0$ , the parametrization  $(X(u, t), Y(u, t), Z(u, t))$  is simple since the characteristic  $p > 3$ . If  $(X(u, t), Y(u, t), Z(u, t))$  is a deformation with multiplicity 2 then  $\Gamma_u \leq \langle 2, \min\{r, k\} \rangle$ . This implies that there are again finitely many different equivalence classes. All together we obtain that the parametrization in the proposition are simple.  $\square$

**Proposition 32.** *Assume that the characteristic  $p = 3$ . Let  $(x(t), y(t), z(t))$  be a parametrized simple space curve singularity of multiplicity 4 with the semigroup  $\Gamma$ . Assume  $5 \notin \Gamma$  and  $6 \in \Gamma$ . Then  $(x(t), y(t), z(t))$  is equivalent to one of the following parametrizations.*

- (1)  $(t^4, t^6, t^7)$
- (2)  $(t^4, t^6 + t^9, t^7)$ .

*Proof.* We know that parametrizations with semigroup  $\langle 4, 6, k, s \rangle$  are not simple if  $s \geq 9, k \geq 13$  (Corollary 22). This implies that the parametrizations with semigroup  $\langle 4, 6, 7 \rangle$  are the only candidates for simple singularities. It is not difficult to see that a parametrization

$$(t^4, t^6 + \sum_{i>6} a_i t^i, t^7 + \sum_{i>7} b_i t^i)$$

is equivalent to (1) or (2).

In a deformation with multiplicity  $\leq 3$  only semigroups  $\Gamma$  with  $\Gamma \leq \langle 3, 7, 8 \rangle$  are possible. These parametrizations are simple.  $\square$

**Proposition 33.** *Assume that the characteristic  $p = 13$ . Let  $(x(t), y(t), z(t))$  be a parametrized simple space curve singularity of multiplicity 4 with the semigroup  $\Gamma$ . Assume  $5 \notin \Gamma$  and  $6 \in \Gamma$ . Then  $(x(t), y(t), z(t))$  is equivalent to one of the following parametrizations.*

- (1)  $(t^4, t^6, t^7)$
- (2)  $(t^4, t^6, t^9)$
- (3)  $(t^4, t^6 + t^7, t^9)$ .

*Proof.* Lemma 3.3.6 implies that parametrizations with semigroup  $\langle 4, 6, 11, 13 \rangle$  are not simple. This implies that simple parametrizations with semigroup  $\Gamma$  with  $4, 6 \in \Gamma$  must satisfy  $\Gamma < \langle 4, 6, 11, 13 \rangle$ . We obtain the following semigroups with this property  $\langle 4, 6, 7 \rangle$  and  $\langle 4, 6, 9 \rangle$ . We only prove the case with the semigroup  $\langle 4, 6, 9 \rangle$ , the other case is similiar. It is clear that a parametrization with the semigroup  $\langle 4, 6, 9 \rangle = \{0, 4, 6, 8, 9, 10, 12, \dots\}$  is of the form

$$(t^4 + \sum_{i \geq 5} \alpha_i t^i, t^6 + at^7 + \sum_{i \geq 9} \hat{\alpha}_i t^i, t^9 + \sum_{i \geq 10} \bar{\beta}_i t^i).$$

By Corollary 9 (Zariski's Theorem) it is equivalent to  $(t^4, t^6 + a_7 t^7 + a_{11} t^{11}, t^9 + b_{11} t^{11})$ . We map  $t$  to  $t - \frac{b_{11}}{9} t^3$ , we obtain that our parametrization is equivalent to  $(t^4, t^6 + \bar{a}_7 t^7 + \bar{a}_{11} t^{11}, t^9)$ . We map  $t$  to  $t - \frac{\bar{a}_{11}}{6} t^6$ , we obtain that our parametrization is equivalent to  $(t^4, t^6 + \bar{\bar{a}}_7 t^7, t^9)$ . If  $\bar{\bar{a}}_7 = 0$  then we have  $(t^4, t^6, t^9)$ . If  $\bar{\bar{a}}_7 \neq 0$  then using the  $\mathbb{K}^*$ -action, we obtain  $(t^4, t^6 + t^7, t^9)$ .

A parametrization with semigroup  $\langle 4, 6, 9 \rangle$  is simple since in a deformation the semigroup cannot increase. For parametrizations with multiplicity 4 we have only the possibilities  $\langle 4, 6, 7 \rangle$  and  $\langle 4, 6, 9 \rangle$ . As in the proof of proposition 3.5.2, it follows that semigroup  $\Gamma_u$  corresponding to a parametrization of multiplicity 3 in a deformation must satisfy  $\Gamma_u \leq \langle 3, 7 \rangle$  and the parametrization is simple.

The same holds for parametrizations of multiplicity 2 in a deformation, since in this case  $\Gamma_u \leq \langle 2, 9 \rangle$ .  $\square$

**Proposition 34.** *Let  $(x(t), y(t), z(t))$  be a parametrization of a simple space curve singularity of multiplicity 4 with semigroup  $\Gamma$ . Assume that  $5 \in \Gamma$ . Then  $(x(t), y(t), z(t))$  is equivalent to one of the following parametrizations:*

- (1)  $(t^4, t^5, 0)$
- (2)  $(t^4, t^5 + t^7, 0)$
- (3)  $(t^4, t^5, t^6)$
- (4)  $(t^4, t^5, t^7)$
- (5)  $(t^4, t^5, t^{11})$
- (6)  $(t^4, t^5 + t^7, t^{11})$

*If  $p = 5$ , then we have additionally*

- (7)  $(t^4, t^5 + t^6, 0)$
- (8)  $(t^4, t^5 + t^6, t^7)$
- (9)  $(t^4, t^5 + t^6, t^{11})$

*If  $p = 3$  then we have additionally*

- (10)  $(t^4, t^5, t^6 + t^7)$ .

*Proof.* We may assume that the parametrization is in weak normal form, i.e.  $x(t) = t^4$ ,  $y(t) = t^5 + \sum_{i > 5, i \notin \Gamma} a_i t^i$  and  $z(t) = 0$  or  $z(t) = t^r + \sum_{i > r, i \notin \Gamma} d_i t^i$ ,  $r \notin \langle 4, 5 \rangle$ , i.e.  $r \in \{6, 7, 11\}$ .

We apply the plane curve classification (cf.[17]) to  $(x(t), y(t))$  and obtain that  $(x(t), y(t))$  is equivalent to one of the following parametrization:

- (i)  $(t^4, t^5)$
- (ii)  $(t^4, t^5 + t^7)$

(iii) additionally  $(t^4, t^5 + t^6)$  if  $p = 5$ .

If  $z(t) = 0$ , we obtain (1),(2) or (7). If  $z(t) \neq 0$  then the conductor of the semigroup  $\langle 4, 5, r \rangle$  is smaller or equal to 8.

If  $p \neq 5$  then  $(t^4, t^5 + t^6) \sim (t^4, t^5 + t^7)$ . This implies that we obtain one of the cases (3)-(6).

The cases  $p = 3$  and  $p = 5$  can be treated similarly.

It remains to prove that the parametrizations above are simple. Obviously deformation with the multiplicity 4 leads again to one of the cases (1)-(10), i.e. finitely many equivalence classes.

A deformation with multiplicity 2 has a semigroup smaller or equal to  $\langle 2, 7 \rangle$ , i.e. again finitely many equivalence classes. A deformation of multiplicity 3 leads to a semigroup smaller or equal to  $\langle 3, 5 \rangle$  belonging to simple singularities.  $\square$

**Proposition 35.** *Assume  $p > 7$ . Let  $(x(t), y(t), z(t))$  be a parametrization of a simple space curve singularity of multiplicity 4 with semigroup  $\Gamma$ . Assume that  $5 \notin \Gamma$  and  $7 \in \Gamma$ .*

*If  $p \neq 13$  then  $(x(t), y(t), z(t))$  is equivalent to one of the following parametrizations:*

- (1)  $(t^4, t^7, 0)$
- (2)  $(t^4, t^7 + t^9, 0)$
- (3)  $(t^4, t^7 + t^{13}, 0)$
- (4)  $(t^4, t^7, t^9)$
- (5)  $(t^4, t^7, t^9 + t^{10})$
- (6)  $(t^4, t^7, t^{10})$
- (7)  $(t^4, t^7 + t^9, t^{10})$
- (8)  $(t^4, t^7, t^{13})$
- (9)  $(t^4, t^7 + t^9, t^{13})$
- (10)  $(t^4, t^7, t^{17})$
- (11)  $(t^4, t^7 + t^9, t^{17})$
- (12)  $(t^4, t^7 + t^{13}, t^{17})$

*If  $p = 13$ , then  $(x(t), y(t), z(t))$  is equivalent to one of the following parametrizations:*

- (13)  $(t^4, t^7, t^9)$
- (14)  $(t^4, t^7, t^9 + t^{10})$

*Proof.* We first consider the case  $p \neq 13$ . We may assume that the parametrization is in weak normal form, i.e.  $x(t) = t^4$ ,  $y(t) = t^7 + \sum_{i>7, i \notin \Gamma} a_i t^i$  and  $z(t) = 0$  or  $z(t) = t^r + \sum_{i>r, i \notin \Gamma} b_i t^i$ ,  $r \notin \langle 4, 7 \rangle$ , i.e.  $r \in \{9, 10, 13, 17\}$ . We apply the plane curve classification (cf.[17]) to  $(x(t), y(t))$  and obtain that  $(x(t), y(t))$  is equivalent to one of the following parametrization:

- (i)  $(t^4, t^7)$
- (ii)  $(t^4, t^7 + t^9)$
- (iii)  $(t^4, t^7 + t^{13})$ .

If  $z(t) = 0$ , we obtain (1),(2) or (3).

If  $z(t) \neq 0$  then the conductor of the semigroup  $\langle 4, 7, r \rangle$  is smaller or equal to 14. This implies that  $(x(t), y(t), z(t))$  is equivalent to (8)-(12) if  $r \geq 13$ .

If  $r = 10$  we obtain that  $(x(t), y(t), z(t))$  is equivalent to  $(t^4, t^7, t^{10} + b_{13}t^{13})$  or  $(t^4, t^7 + t^9, t^{10} + b_{13}t^{13})$ .

We prove the second case, the first case is similar. If  $b_{13} \neq 0$ , we use the automorphism defined by  $t \rightarrow t - \frac{1}{10}b_{13}t^4$  to obtain

$$(t^4 + \sum_{i \geq 7, i \neq 9} \alpha_i t^i, t^7 + t^9 + \sum_{i \geq 10} \beta_i t^i, t^{10} + \sum_{i \geq 16} \gamma_i t^i).$$

For suitable  $\alpha_i, \beta_i, \gamma_i$ . This is equivalent to  $(t^4 + \bar{\alpha}_{13}t^{13}, t^7 + t^9 + \bar{\beta}_{13}t^{13}, t^{10})$ . For suitable  $\bar{\alpha}_{13}$  and  $\bar{\beta}_{13}$ .

Using the transformation  $t \rightarrow t - \frac{1}{10}\bar{\beta}_{13}t^7$ , we obtain similarly an equivalence to  $(t^4 + \bar{\alpha}_{13}t^{13}, t^7 + t^9, t^{10})$ . Using the transformation  $t \rightarrow t - \frac{1}{4}\bar{\alpha}_{13}t^{10}$ , we obtain the equivalence to  $(t^4, t^7 + t^9, t^{10})$ .

If  $r = 9$  then  $(x(t), y(t), z(t))$  is equivalent to  $(t^4, t^7, t^9 + \alpha_{10}t^{10})$ . and we obtain the cases (4) and (5).

Now it remains to prove that the parametrizations (1)-(12) are simple.

Obviously a deformation with multiplicity 4 leads either to the cases (1)-(12) or to a case with the semigroup containing 5 or 6. If 5 is in the semigroup, we have finitely many equivalent classes in the deformation. If we obtain a semigroup  $\langle 4, 6, k, s \rangle$  then  $s = \infty$  and  $k = 7$  or  $s \leq 7$ . We have finitely many equivalence classes.

Now assume that we have a deformation with multiplicity 3. If 4 is in the semigroup we obtain a semigroup  $\Gamma \leq \langle 3, 4 \rangle$  with obviously finitely many equivalence classes. We may assume that we have a deformation  $X(u, t) = \alpha t^3 + t^4 + \dots$ ,  $Y(u, t), Z(u, t)$ . The corresponding semigroup  $\Gamma \leq \langle 3, 7 \rangle$ . This implies that for fixed  $u \neq 0$ , the parametrization  $(X(u, t), Y(u, t), Z(u, t))$  is simple since  $p > 3$ . If  $(X(u, t), Y(u, t), Z(u, t))$  is a deformation with multiplicity 2 then the semigroup is  $\Gamma \leq \langle 2, 7 \rangle$ . This implies that there are again only finitely many different equivalence classes. All together we obtain that the parametrizations in the proposition are simple.

If  $p = 13$  then Corollary 25 implies that  $(t^4, t^7, t^{10})$  is not simple. We obtain as the only possible candidates for simple parametrizations the cases (13) and (14). Arguments as above show that they are simple.  $\square$

**Proposition 36.** *Let  $(x(t), y(t), z(t))$  be a parametrization of a space curve singularity of multiplicity 4 with semigroup  $\Gamma$ . Assume that  $5 \notin \Gamma$  and  $7 \in \Gamma$ .*

- (1) *If the characteristic  $p = 3$  or  $p = 7$  then the parametrization is not simple.*
- (2) *If the characteristic  $p = 5$  and the parametrization is simple then it is equivalent to  $(t^4, t^7, t^9)$ .*

*Proof.* The proposition is a consequence of Lemma 23 (if  $p = 5$ ), Corollary 22 (if  $p = 3$ ), and Lemma 24 (if  $p = 7$ ).  $\square$

## 7. SPACE CURVES IN CHARACTERISTIC 2

Let  $\mathbb{K}$  be an algebraically closed field of characteristic 2. In [17] the simple plane curve singularities of multiplicity  $\geq 2$  are classified by the following proposition.

**Proposition 37.** *The simple plane curve singularities of multiplicity  $\geq 2$  are given by the following parametrizations.*

- (1)  $(t^2, t^k)$ ,  $k \geq 3$  odd.
- (2)  $(t^2 + t^m, t^k)$ ,  $0 < m < k$ ,  $k, m$  odd.
- (3)  $(t^3, t^4)$
- (4)  $(t^3, t^4 + t^5)$
- (5)  $(t^3, t^5)$
- (6)  $(t^3, t^7)$
- (7)  $(t^3, t^7 + t^8)$ .

**Proposition 38.** *The simple space curve singularities of multiplicity  $\geq 2$  are given by (1)-(7) of Proposition 37 with third component 0 and additionally*

- (1)  $(t^3, t^4, t^5)$
- (2)  $(t^3, t^5, t^7)$
- (3)  $(t^3, t^7, t^8)$
- (4)  $(t^3, t^7, t^{11})$
- (5)  $(t^3, t^7 + t^8, t^{11})$
- (6)  $(t^3, t^8, t^{10})$
- (7)  $(t^3, t^8, t^{13})$
- (8)  $(t^3, t^8 + t^{10}, t^{13})$
- (9)  $(t^3, t^{10}, t^{11})$
- (10)  $(t^3, t^{10}, t^{14})$
- (11)  $(t^3, t^{10} + t^{11}, t^{14})$ .

*Proof.* We apply Proposition 27 and obtain that the semigroup of the parametrization must be smaller than  $\langle 4, 5, 6 \rangle$  and  $\langle 3, 10, 17 \rangle$  and  $\langle 3, 8 \rangle$  to be a candidate for a simple parametrization. We obtain as possible cases (1) to (11). We will prove here only one case. The other cases can be proved similarly. Consider a parametrization with semigroup  $\Gamma = \langle 3, 8, 13 \rangle = \{0, 3, 6, 8, 9, 11, \dots\}$  and assume that we already know that the parametrizations (1) to (6) are simple. We may assume that the parametrization is given as

$$(t^3, t^8 + \sum_{i>8, i \notin \Gamma} a_i t^i, t^{13} + \sum_{i>13, i \notin \Gamma} b_i t^i) = (t^3, t^8 + a_{10} t^{10}, t^{13}).$$

If  $a_{10} \neq 0$ , we obtain using the  $\mathbb{K}^*$ -action  $(t^3, t^8 + t^{10}, t^{13})$ . If  $a_{10} = 0$ , we obtain  $(t^3, t^8, t^{13})$ .

A parametrization in a deformation has a semi-group smaller or equal to  $\langle 3, 8, 13 \rangle$ . The possibilities are  $\langle 2, k \rangle$ ,  $k$  odd,  $\langle 3, 4 \rangle$ ,  $\langle 3, 4, 5 \rangle$ ,  $\langle 3, 5 \rangle$ ,  $\langle 3, 5, 7 \rangle$ ,  $\langle 3, 7 \rangle$ ,  $\langle 3, 7, 8 \rangle$ ,  $\langle 3, 7, 11 \rangle$ ,  $\langle 3, 8, 10 \rangle$ ,  $\langle 3, 8, 13 \rangle$ . We know by Proposition 37 and our assumption that the parametrizations with the first nine semigroups are simple. We obtain that  $(t^3, t^8 + a_{10} t^{10}, t^{13})$  is simple since it is equivalent to (7) or (8).  $\square$

## 8. PROOF OF THE MAIN THEOREM

*Proof.* The aim of this section is to give a proof of Theorem 11

We first assume that the characteristic  $p$  is different from 2, 3, 5, 7 and 13.

Lemma 20 gives two semigroups,  $\langle 5, 6, 7 \rangle$  and  $\langle 4, 9, 10 \rangle$ , such that the corresponding parametrization is not simple. This implies that parametrizations with the semigroup  $\Gamma \geq \langle 5, 6, 7 \rangle$  or  $\Gamma \geq \langle 4, 9, 10 \rangle$  are not simple. Proposition 28 (for multiplicity 2), Proposition 30 (for multiplicity 3), Proposition 31 (for multiplicity 4 and semigroup  $\langle 4, 6, \dots \rangle$ ), Proposition 34 (for multiplicity 4 and semigroup  $\langle 4, 5, \dots \rangle$ ) and Proposition 35 (for multiplicity 4 and semigroup  $\langle 4, 7, \dots \rangle$ ) give all the simple parametrizations with semigroup  $\Gamma < \langle 4, 9, 10 \rangle$  resp.  $\Gamma < \langle 5, 6, 7 \rangle$ .

Now assume that  $p = 13$ . Lemma 25 and Corollary 26 imply that parametrizations with semigroup  $\langle 4, 7, 10 \rangle$  and  $\langle 4, 6, 11, 13 \rangle$  are not simple. From Lemma 20 we know that parametrizations with semigroup  $\langle 5, 6, 7 \rangle$  are not simple. This implies that simple parametrizations must have a semigroup  $\Gamma$  with  $\Gamma < \langle 5, 6, 7 \rangle$  or  $\Gamma < \langle 4, 7, 10 \rangle$  or  $\Gamma < \langle 4, 6, 11, 13 \rangle$ . We obtain the classification similarly as above using Proposition 28 (for multiplicity 2), Proposition 30 (for multiplicity 3), Proposition 33 (for multiplicity 4 and semigroup  $\langle 4, 6, \dots \rangle$ ), Proposition 34 (for multiplicity 4 and semigroup  $\langle 4, 5, \dots \rangle$ ) and Proposition 35 (for multiplicity 4 and semigroup  $\langle 4, 7, \dots \rangle$ ).

Now assume that  $p = 7$ . Similarly to characteristic 13 we obtain additionally to  $\langle 5, 6, 7 \rangle$  and  $\langle 4, 9, 10 \rangle$  a third semigroup  $\langle 4, 7, 13 \rangle$  such that the corresponding parametrization is not simple (Lemma 24). The simple parametrizations of multiplicity 2, 3 and 4 (with semigroup  $\langle 4, 5, \dots \rangle$ ,  $\langle 4, 6, \dots \rangle$ ) are classified as above. There are no simple parametrizations of multiplicity 4 with semigroup  $\langle 4, 7, \dots \rangle$  (Proposition 36).

Now assume that  $p = 5$ . Similarly to characteristic 13 we obtain additionally to  $\langle 5, 6, 7 \rangle$  and  $\langle 4, 9, 10 \rangle$  a third semigroup  $\langle 4, 7, 10 \rangle$  such that the corresponding parametrization is not simple (Lemma 23).

The simple parametrizations of multiplicity 2, 3 and 4 (with semigroup  $\langle 4, 5, \dots \rangle$ ,  $\langle 4, 6, \dots \rangle$ ) are classified as above. The simple parametrizations of multiplicity 4 with semigroup  $\langle 4, 7, \dots \rangle$  are classified using Proposition 36.

Now assume that  $p = 3$ . In this case we obtain additionally to  $\langle 5, 6, 7 \rangle$ ,  $\langle 4, 9, 10 \rangle$  the semigroups  $\langle 3, 7, 11 \rangle$ ,  $\langle 4, 7, 9 \rangle$  and  $\langle 4, 6, k, s \rangle$ ,  $k \geq 13$ ,  $s \geq 9$  ( $k = \infty$  included) such that the corresponding parametrizations are not simple (Lemma 21 and Corollary 22). The simple parametrizations of multiplicity 2, 3 and 4 (with semigroup  $\langle 4, 5, \dots \rangle$ ,  $\langle 4, 6, \dots \rangle$ ) are classified as above. There are no simple parametrizations of multiplicity 4 with semigroup  $\langle 4, 7, \dots \rangle$  (Proposition 36).

Now assume that  $p = 2$ . Proposition 37 implies that parametrizations with semigroup  $\langle 4, 5, 6 \rangle$  or  $\langle 3, 8 \rangle$  or  $\langle 3, 10, 17 \rangle$  are not simple. This implies that simple parametrizations have multiplicity  $\leq 3$  and semigroup  $\Gamma \leq \langle 3, 10, 14 \rangle$ . The simple parametrizations are classified using Proposition 38.  $\square$

## 9. CLASSIFIER

In this section we want to give an example for a classifier of space curve singularities which we implemented in the computer algebra system SINGULAR.

```
LIB"classify_aeq.lib";
ring R=31,t,ds;
ideal I=t10-11t11-6t12-t13+12t14+4t15-14t16+15t17-12t18+5t19+t20,
```



```

t3+6t4+13t5-13t6+10t7+2t8-6t9-10t10-15t11-6t12+8t13-2t14+t15+8t16,
t7+15t8+7t9-11t10-15t11-6t12+8t13-2t14+t15+8t16;
ideal J=classSpaceCurve(I);
J;
J[1]=t3
J[2]=t7+t8
J[3]=t10

```

The procedure `classSpaceCurve` decides if the input is a simple curve and computes the normal form in this case. In the example we consider the curve given by the parametrization  $(x(t), y(t), z(t))$  over the algebraic closure of  $\mathbb{Z}/31$  with

$$\begin{aligned}
x(t) &= t^{10} - 11t^{11} - 6t^{12} - t^{13} + 12t^{14} + 4t^{15} - 14t^{16} + 15t^{17} - 12t^{18} + 5t^{19} + t^{20}. \\
y(t) &= t^3 + 6t^4 + 13t^5 - 13t^6 + 10t^7 + 2t^8 - 6t^9 - 10t^{10} - 15t^{11} - 6t^{12} + 8t^{13} - 2t^{14} + t^{15} + 8t^{16} \\
z(t) &= t^7 + 15t^8 + 7t^9 - 11t^{10} - 15t^{11} - 6t^{12} + 8t^{13} - 2t^{14} + t^{15} + 8t^{16}
\end{aligned}$$

The normal form of this curve is  $(t^3, t^7 + t^8, t^{10})$ .

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